

Preparation and Immunocharacterization of Probiotic DNA Loaded Chitosan Nanoparticles: An *In Vitro* and *In Vivo* Study

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ABSTRACT

The rise in infectious diseases as well as non infectious immune related disorders demand the need for the development of efficient immunomodulators. The chemical based drugs employed to cure these diseases may have the side effects and are costly. In the present era, consumer awareness about the harmful effects of chemical drugs raised a need to search for natural/alternative therapy for the disease treatment. Immunotherapy is one of the alternative ways of management/modification of diseases. Probiotics have been proved to be beneficial for human beings. Even its components such as cell wall, genomic DNA, etc act as foreign antigen to eukaryotic organisms and are immune enhancers. The immune enhancing efficacy of probiotic DNA might be increased by nanoparticle assisted delivery. Chitosan nanoparticles (chitosan NP) have been greatly explored and developed for pharmaceutical applications. Hence, the present study was conducted to prepare and characterize the probiotic genomic DNA loaded chitosan nanoparticles (DLCNP) and to compare their immunomodulatory potential with *Lactobacillus acidophilus* NCDC343 (LA 343) whole cell and their isolated genomic DNA (LA DNA) *in vitro* as well as in experimental animals. The characterization studies revealed that nanoparticles size ranging from 350 to 515nm were prepared with a positive zeta potential in between +8.71 to +17.7mV. *In vitro* experiments proved that LA 343, LA DNA, DLCNP showed immune enhancing activity; maximum being shown by DLCNP. Further, *in vivo* experiments demonstrated that DLCNP show significantly higher activity than LA DNA. Moreover, study on routes of administration indicated that i.m route is best for giving LA DNA whereas, i.p route is better for nanoparticles.

Keywords: Probiotics, Probiotic DNA, Chitosan Nanoparticles, Chitosan DNA Nanoparticles, Immunotherapy.

INTRODUCTION

The ever increasing knowledge about the mechanisms of immune response in modulating many diseases has raised interest in application of probiotics as immunomodulators. The health effects of probiotic micro-organisms are well known and many probiotic products are commercially available now as nutraceuticals. Though, probiotics have GRAS (Generally Regarded as Safe) status, yet their use might be limited and concentration dependent in aged and immunocompromised persons. Recent advances in immunology and molecular biology lead towards finding that the DNA of the bacteria is capable of immune activation. The vertebrate immune system recognizes 'CpG motifs' (Oligodeoxynucleotides containing CpG motifs) of probiotic DNA as foreign and trigger protective immune responses which are strongly Th1-based¹. The structural differences between bacterial and eukaryotic DNA basically makes the bacterial DNA capable of serving as an immune activating agent. Moreover, the preliminary experiments revealed that probiotic genomic DNA is a better immune enhancing agent than its whole cell^{2,3}. Although, nucleic acids (such as DNA, siRNA, CpG-ODNs) are being used as drugs, either as one of the

components of adjuvants of vaccine or in gene therapy procedures, yet their efficacy is often limited by their lower potential in reaching the site of therapeutic action. Many of the issues faced by the oral gene delivery are that the naked nucleic acids are unstable, prone to degradation by serum nucleases and thus have reduced bioavailability in intestine. This problem of instability and DNA degradation can be overcome by the use of nanoparticles (NPs). Various nano sized materials such as cationic liposomes, polyethylenimine, poly(L-lysine), carbon nanotubes, etc and their various derivatives are frequently used for delivering nucleotides⁴. Out of these nano materials, chitosan has gained more attention as drug delivery carrier. Chitosan being positively charged binds to negatively charged nucleic acids and protects the nucleic acids from *in vivo* harsh environment containing various kinds of nucleic acid degrading enzymes⁵. In the present study, experiments were designed to find out whether the immunomodulatory efficacy of genomic DNA can be improved by chitosan nanoparticle delivery.

MATERIAL AND METHODS

Probiotic strain

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Lactobacillus acidophilus NCDC343 (LA 343) was procured from NDRI, Karnal. The culture so obtained were given two revival cycles in de Man–Rogosa–Sharpe broth (MRS broth) at 37°C and maintained for further use.

Isolation of Genomic DNA from LA 343

For genomic DNA preparation, cells were grown in the corresponding medium containing 1.5 % glycine to facilitate cell lysis. Genomic DNA was isolated and its quality was checked by agarose gel electrophoresis and quantity was determined spectrophotometrically⁶.

Preparation of Chitosan Nanoparticles (CNP) and DNA loaded Chitosan Nanoparticles (DLCNP)

Chitosan (deacetylation degree ≥75%) was purchased from HiMedia Laboratories Pvt Ltd. It was purified by reprecipitation from the filtered 1% acetic acid solution with 1N Sodium hydroxide till pH of 8.5 reached. The precipitate was washed with deionized water and vacuum-dried⁷. The purified chitosan (0.25%) was dissolved in 1% acetic acid (pH varying from 3.5 to 5.5) with gentle heating on magnetic stirrer at 1300rpm till a homogeneous solution was obtained⁸.

DNA sample was dissolved in 20% sodium sulphate solution. For the formation of DLCNP, 1 part of sodium sulphate solution containing DNA was added dropwise to 3 parts of chitosan solution and mixed on magnetic stirrer at 500 rpm till a hazy solution was formed. DLCNP were recovered by centrifugation and after washing with deionized water, stored in deionized water at 4°C for further study⁹. The solution was sonicated for 8 min at 50W amplitude with 10sec ON and 5sec OFF cycle before conducting characterization studies, *in vitro* and *in vivo* experiments.

Characterization of Nanoparticles

Characterization of CNP

Morphological examination: Nanoparticles were observed under the Scanning electron microscope (SEM) and transmission electron microscope (TEM).

Dynamic Light Scattering (DLS) study: Sizing of the nanoparticles was performed by photon correlation spectroscopy on the Zetasizer 3000 (Malvern Instruments Ltd., UK) to obtain average nanoparticle size measurements. Zeta potential of the nanoparticles was measured in demineralised water at neutral pH by laser Doppler anemometry using Zetasizer 3000 (Malvern Instruments Ltd., UK).

Characterization of DLCNP

Alongwith morphological examination and nanosize as well as Zeta potential studies, following parameters were studied for characterization of DLCNP.

Determination of DNA complexed: The amount of complexed DNA (in DLCNP) was determined by agarose gel electrophoresis of nanoparticles versus naked DNA and supernatant after nanoparticles formation⁷

Loading capacity and Loading efficiency: The loading degree was determined by quantifying the non bound DNA in supernatant with a spectrophotometer. Both loading capacity (LC) and loading efficiency (LE) were calculated by using following equations^[10]

$$LC (\%) = \frac{[(\text{total amount of DNA}) - (\text{non-bound DNA})] \times 100}{\text{Weight of Chitosan DNA nanoparticles}}$$

$$LE (\%) = \frac{[(\text{total amount of DNA}) - (\text{non-bound DNA})] \times 100}{\text{Total amount of DNA}}$$

In vitro DNA release study at physiological pH (7.4) and at stomach acidic pH (2.1): DLCNP were suspended in PBS (pH – 7.4 and pH – 2.1) separately in two flasks. The suspension was shaken in a water bath at 37°C. At defined time intervals, the supernatant was collected by centrifugation and nanoparticles were re-suspended in fresh buffer. Genomic DNA released into the supernatant was quantified by measuring with a spectrophotometer at OD₂₆₀¹¹.

Characterization of immunomodulatory potential of whole cell probiotic LA 343, LA DNA and DLCNP *in vitro* and *in vivo*

In vitro characterization

Splnocytes isolation

Splenocytes were isolated from spleen by teasing the tissue. Cells were centrifuged (400 × g for 10 min at 4°C) and lysed by ACK lysis buffer (0.5M NH₄Cl, 10mM KHCO₃ and 0.1 mM disodium EDTA, pH 7.2). Splenocytes obtained were washed thrice in PBS, counted and adjusted (2x10⁶ cells ml⁻¹) in Minimal Essential Medium (MEM) and was incubated for 2 hours at room temperature with the respective test samples i.e. LA 343; LA DNA; DLCNP and CNP. The splenocyte suspension was further subjected to NBT (Nitroblue Tetrazolium dye) reduction, iNOS (inducible Nitric oxide synthase enzyme) activity and Phagocytic activity tests.

NBT test¹²

NBT is based upon principle that whenever any foreign particle is ingested by a phagocyte, a respiratory burst is induced; which could be measured by change in yellow colored NBT dye into blue colored formazon complex.

iNOS activity¹³

iNOS is based upon the principle that when macrophages are activated they together with T-cell derived IFN-gamma begin to express iNOS which oxidizes L-Arginine to citrulline and nitric oxide, The dark purple color so formed is detected by spectrophotometer.

Phagocytic activity¹⁴

Phagocytic activity is one of the important parameter to measure the phagocytic function of splenocytes. Phagocytic activity is measured by incyating splenocytes, test samples and *E.coli* together. Decrease in the formation of *E.coli* colonies on Nutrient agar, indicates the activation of splenocytes.

In vivo characterization

Experimental animals

Balb/c female mice (18-22gm) maintained on standard laboratory diet (Kisan Feeds Ltd., Mumbai, India) and water ad libitum were employed in the present study. The animals were divided into respective groups each of minimum six animals, housed individually in the departmental animal house and were exposed to 12 hr cycle of light and dark. The experimental protocol was approved by Institutional Animal Ethical Committee (Registration No: 107/99/CPCSEA/2013-24) were carried out as per the guidelines of committee for

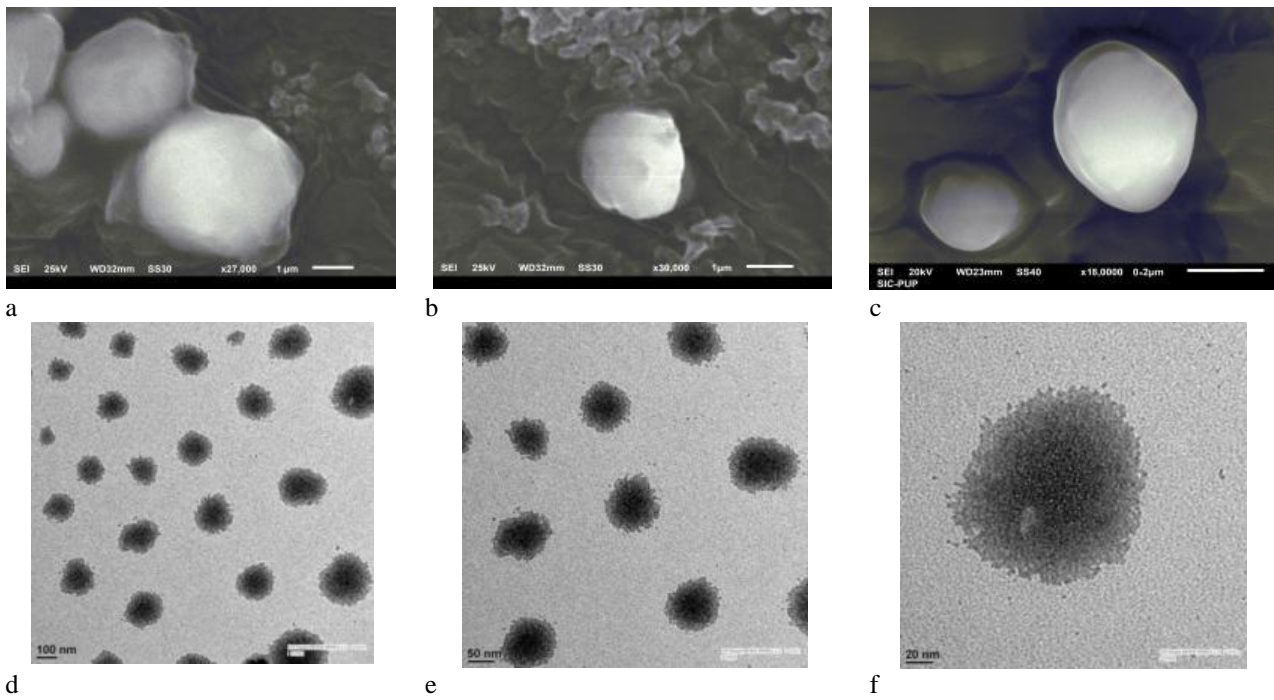


Figure 1: (a), (b) and (c) - Scanning Electron Microscopy of DLCNP. (d), (e) and (f) - Transmission Electron Microscopy of nanoparticles.

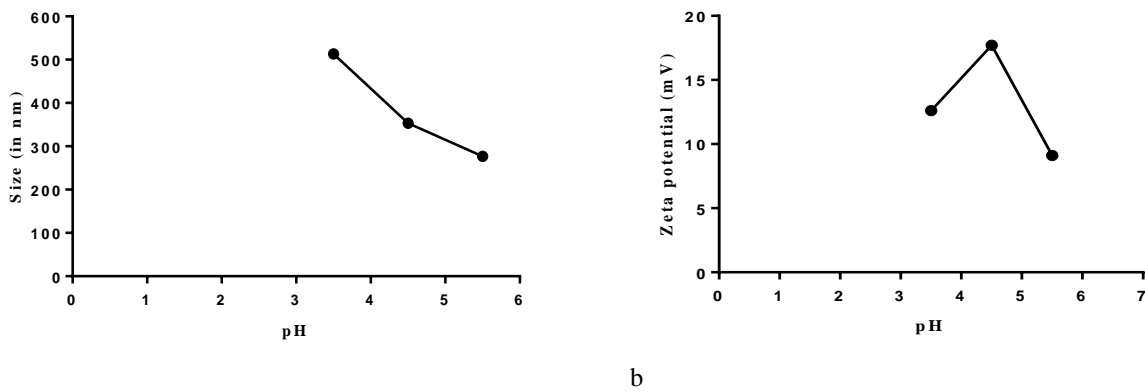


Figure 2: Change in DNA loaded Chitosan Nanoparticle's (a) Size (nm) and (b) Zeta Potential (mV) with increase in pH. The above data is represented as Mean \pm S.E.M (n=3).



Figure 3: Agarose Gel Electrophoresis 0.8% agarose gel analysis of DLCNP (Well 1, 3, 4, 5 and 6 prepared at 0, 6,12, 18, 24th day respectively) and Supernatant (after the formation and before the washings of DLCNP) (Well 2).

Purpose of Control and Supervision of Experimental on Animals (CPCSEA) Ministry of Environment and Forest, Government of India.

Experimental groups of animals

Animals were divided into seven major groups; including Positive and Untreated control. The test animals were given the treatment with LA DNA, DLCNP and CNP. Two different routes of injection i.e. intraperitoneal (i.p) and intramuscular (i.m) route

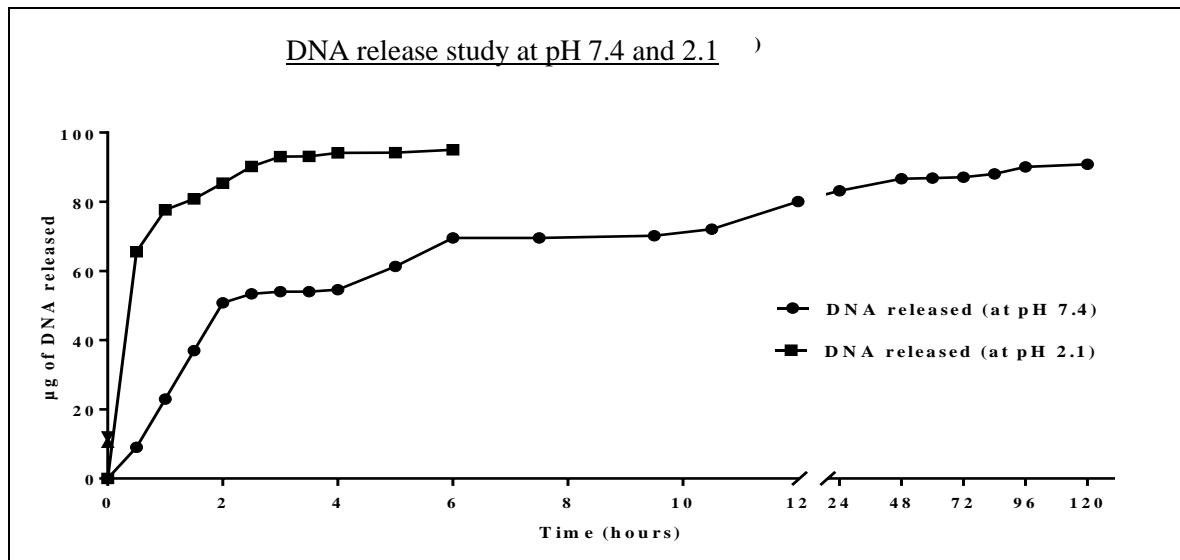


Figure 4: *In vitro* DNA release study at physiological pH – 7.4 and at stomach acidic pH – 2.1. The above data is represented as Mean ± S.E. M (n=3).

Table 1: *In vitro* immunomodulatory potential of probiotic cells, isolated genomic DNA and DNA loaded Chitosan nanoparticles.

Groups	NBT reduction (Mean%)	iNOS activity (Mean%)	Phagocytic activity (Mean%)
Untreated Control	12.51 ± 0.49	10.70 ± 0.38	11.60 ± 0.97
LA 343	44.57 ± 0.70	26.13 ± 0.27	35.35 ± 0.61
LA DNA	56.51 ± 0.49	80.00 ± 0.44	68.26 ± 0.24
CNP	40.08 ± 0.28	13.61 ± 0.47	26.84 ± 0.56
DLCNP	71.19 ± 0.89	95.97 ± 0.63	83.58 ± 0.35

Data are Mean value ± S.D (n=3)

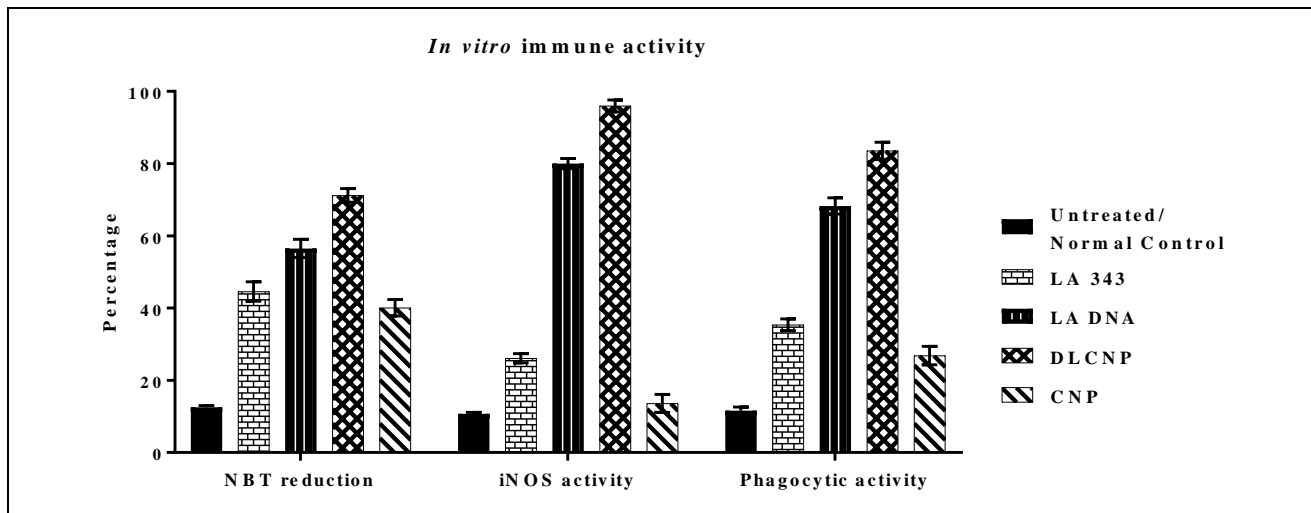


Figure 5: *In vitro* immunomodulatory evaluation of LA 343, LA DNA, CNP and DLCNP. The above data is represented as Mean ± S.E.M (n=3). p< 0.05 as compared to Normal Control

were examined for LA DNA delivery. The details of groups and their treatment is as given below.

- Group I: Untreated Control (not subjected to any treatment i.e. kept only on diet)
- Group II: Positive control (25mg kg⁻¹ Levamisole, i.p for 17 consecutive days)
- Group III: Immunized control (mice sensitized with SRBC, i.p and kept on normal diet)

- Group IV: Mice treated with LA DNA*, i.m at the rate of 75µg DNA per mouse.
- Group V: Mice treated with LA DNA**, i.p at the rate of 75µg DNA per mouse.
- Group VI: Mice treated with DLCNP**, i.p at the rate of 80µg DNA mouse⁻¹.
- Group VII: Mice treated with CNP**, i.p. *4 injections, intramuscularly in left tibialis anterior muscle¹⁵ at the interval of 6 days between two doses.

Table 2: Effect of probiotic cells, isolated genomic DNA and DNA loaded Chitosan nanoparticles on NBT reduction, iNOS activity and phagocytic potential in Balb/c mice

Groups	NBT reduction (Mean%)	iNOS activity (Mean%)	Phagocytic activity (Mean%)
Normal Control	71.70 ± 1.63	40.74 ± 1.43	33.83 ± 1.66
Positive Control	89.82 ± 3.85 ^{a,b}	90.98 ± 1.67 ^{a,b}	95.92 ± 2.26 ^{a,b}
Immunized Control	65.83 ± 2.46 ^a	52.01 ± 1.76 ^a	63.41 ± 1.60 ^a
LA DNA (i.m)	87.99 ± 2.99 ^{a,b}	89.24 ± 1.32 ^{a,b}	93.39 ± 1.06 ^{a,b}
LA DNA (i.p)	75.04 ± 2.26 ^{a,b}	82.58 ± 1.60 ^{a,b}	88.59 ± 1.11 ^{a,b}
DLCNP	92.40 ± 1.97 ^{a,b}	93.56 ± 1.00 ^{a,b}	95.05 ± 0.66 ^{a,b}
CNP	71.11 ± 2.02 ^{a,b}	52.55 ± 1.92 ^{a,b}	62.19 ± 1.75 ^{a,b}

Data is represented as Mean ± S.D (n=6).

^a $p < 0.001$ in comparison to normal control and ^b $p < 0.05$ in comparison to immunized control

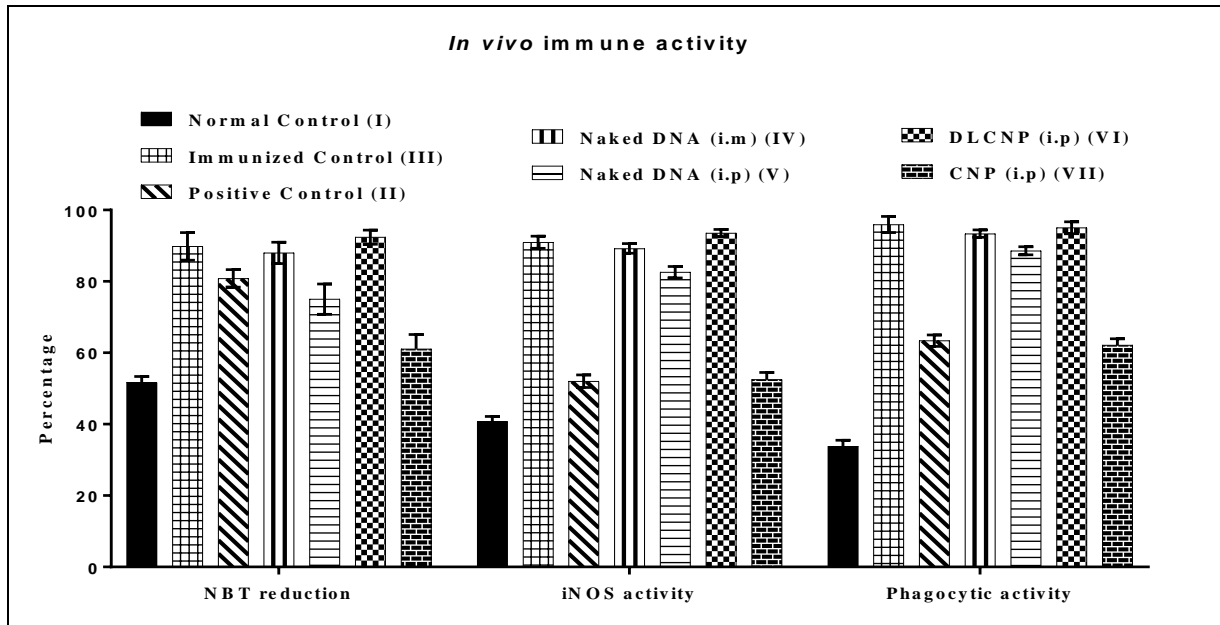


Figure 6: Comparative *in vivo* NBT reduction, iNOS activity and Phagocytic activity of LA 343, LA DNA, CNP and DLCNP. The above data is represented as Mean ± S.E.M (n=6).

** 4 injections, intraperitoneally¹⁶ at the interval of 6 days between two doses.

All the animals were immunized with SRBC on 15th day and sacrificed on 21st day of experiment. Spleen was excised from all the animals and processed individually to check cell mediated immune response. Blood was withdrawn for checking humoral immune response.

Immunization

Sheep blood was collected in Alsever's solution in the ratio 1:2 and was centrifuged at $400 \times g$ for 10 min at 4°C. The erythrocyte pellet obtained was washed and suspended in PBS (0.1 M, pH 7.2) for further use¹⁷. All mice were antigenically challenged intraperitoneally with a single dose ($100 \mu\text{l ml}^{-1}$ of 1×10^7 cells/ml) of sheep red blood cells (SRBC).

Cell mediated immune response

Splenocytes were isolated from spleen by teasing the tissue and processed for NBT test, iNOS and Phagocytic

Delayed Type Hypersensitivity assay

Delayed Type Hypersensitivity response (DTH) was checked by foot pad swelling method¹⁸. All SRBC primed groups were challenged intradermally on day 15th with SRBC suspension (1×10^7 $100 \mu\text{l saline}^{-1}$) in the hind

footpad. The control lateral paw was given equal volume of saline. Paw thickness was measured with micro-calliper at 24h interval up to 72h. The difference in paw thickness compared to control was taken as a measure of DTH and expressed in millimeter. Results are expressed as mean ± S.E.M. of footpad thickness up to 72h.

Humoral Immune Response

To assess the humoral immune response, blood was withdrawn from retro-orbital plexus of all SRBC antigenically challenged animals on day 0 (pre-immunized), 8th, 13th and 20th (post immunization). The serum was separated and assayed by direct haemagglutination¹². Titer was described as the highest dilution capable of visible agglutination. The results were expressed as mean ± S.E.M. log titre of individual animals.

Statistical Analysis All the results were expressed as mean ± S.E.M. Data of tests were statistically analyzed using one-way ANOVA. The data were considered to be statistically significant if the probability had a value of 0.05 or less.

RESULTS

Characterization of CNP and DLCNP

Table 3: DTH response of LA 343, LA DNA, CNP and DLCNP.

Groups	0 hour	24 hour	48 hour	72 hour
Normal Control	1.63 ± 0.02	1.63 ± 0.02	1.63 ± 0.02	1.63 ± 0.02
Positive Control	1.66 ± 0.04	1.86 ± 0.04 ^{a,b}	1.99 ± 0.05 ^{a,b}	1.75 ± 0.02
Immunized Control	1.65 ± 0.03	1.68 ± 0.03 ^a	1.75 ± 0.06 ^a	1.66 ± 0.02
Naked DNA (i.m)	1.62 ± 0.03	1.8 ± 0.04 ^{a,b}	2.05 ± 0.03 ^{a,b}	1.64 ± 0.03
Naked DNA (i.p)	1.61 ± 0.03	1.86 ± 0.04 ^{a,b}	2.14 ± 0.04 ^{a,b}	1.57 ± 0.02
DLCNP (i.p)	1.63 ± 0.02	1.91 ± 0.04 ^{a,b}	2.19 ± 0.02 ^{a,b}	1.7 ± 0.02
CNP (i.p)	1.6 ± 0.02	1.79 ± 0.03 ^{a,b}	1.92 ± 0.04 ^{a,b}	1.65 ± 0.02

The above data is represented as Mean ± S.E.M (n=6).

^a*p*<0.05 in comparison to normal control, ^b*p*<0.05 in comparison to sensitized control

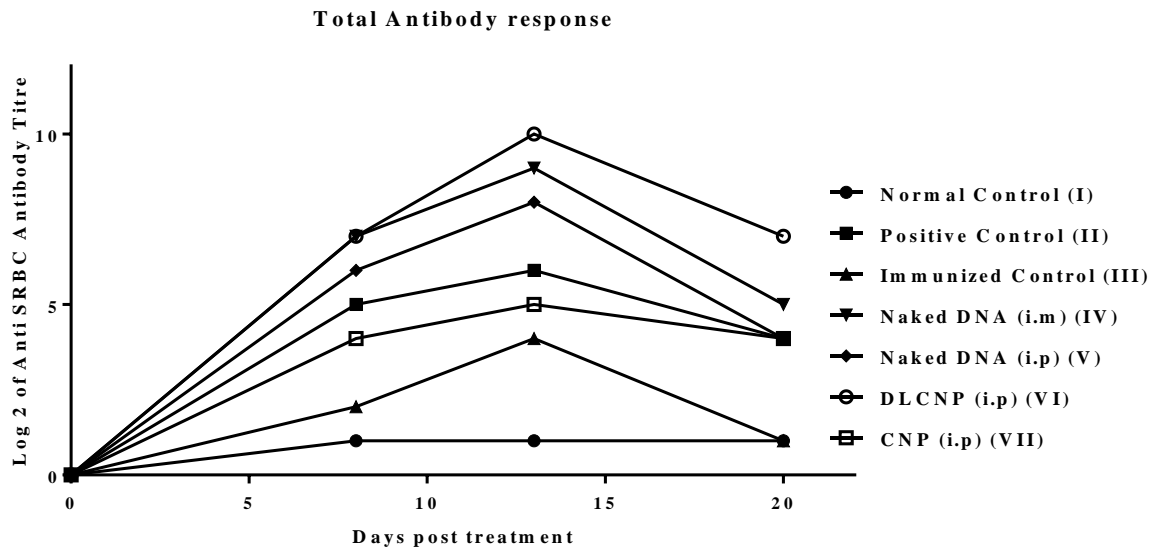


Figure 7: Effect of different groups on production of anti- SRBC antibody titre on pre-immunization(0th day) and post-immunization (8th, 13th and 20th day).

The conditions for the nanoparticle preparations were optimised by observing Nano-size and zeta potential, by light microscopic examination (i.e. SEM and TEM), as well as by agarose gel electrophoresis, Loading efficiency & Loading capacity and DNA release study under *in vitro* conditions.

Morphological examination

The morphological examination of the microspheres was performed by Scanning Electron Microscopy (SEM) and Transmission Electron Microscopy (TEM). Figure: 1 (a to f) shows the observed results. Morphological examination showed that DNA loaded chitosan nanoparticles formed are spherical/oval shaped. SEM study indicate that nanoparticles formed have a size ranging in between 350nm to 515nm, whereas TEM data revealed that size ranged in between 100-350nm.

Dynamic Light Scattering (DLS) study

Nano-size and Zeta potential of CNP at pH 4.5 was 342.7 nm and +17.2 mV respectively; whereas the size and zeta potential of DLCNP (prepared in acetic acid) was measured at three different pH- 3.5, 4.5, 5.5.

The presence as well as amount of DNA did not affect the zeta potential value. Nanoparticles had a positive surface charge at all pH values. Zeta potential first increased from +12.6 mV to +17.7 mV as the pH changed from 3.5 to 4.5 and further decreased to

+9.11mV at pH 5.5, as depicted in figure 5.11. Size of nanoparticles decreased gradually with increase in pH, being 513.2nm, 353.4nm, 276.5nm at pH value of 3.5, 4.5, and 5.5 respectively of the preparing solution as shown in Figure 2(a) and (b).

Analysis of DNA-Chitosan complex

The optimised chitosan–DNA nanoparticles were analysed by agarose gel electrophoresis along with non-complexed DNA and supernatant (left after DLCNP formation) samples as controls.

Figure 3 clearly indicate that DNA was completely retained in the loading well after sonication of DLCNPs. Moreover, sonication of DLCNPs did not cause any shearing of DNA, as no trailing of DNA was observed in wells loaded with DLCNP in gel electrophoresis. This confirmed that the DNA was fully complexed into chitosan–DNA nanoparticles and supernatant loaded into the well, did not show any fluorescence, suggesting 100% complex forming efficiency, which could be further confirmed by loading capacity and efficiency.

Loading capacity and Loading efficiency

Loading efficiency and loading capacity are very significant parameters in preparation of chitosan/DNA nanoparticles. Loading capacity illustrates how strong is the capacity of chitosan for encapsulating DNA.

Loading capacity determined was 0.18% and loading efficiency was 97%.

In vitro DNA release study at physiological pH – 7.4 and at stomach acidic pH – 2.1

It was observed during *in vitro* DNA release experiment that DNA release occurs gradually for 3-4 days at pH 7.4 (physiological pH) as compared to the fast release of upto 90% in first 3 hours of incubation in acidic (stomach pH 2.1) conditions, as shown in Figure 4.

In vitro immunomodulatory potential of whole cell probiotic LA 343, LA DNA and DLCNP

In vitro testing of immunomodulatory activity of whole cell probiotic (LA 343), its isolated genomic DNA, DNA loaded onto Chitosan nanoparticles and vehicle (Chitosan Nanoparticles) was determined. NBT, iNOS and phagocytic activity is depicted in Table 1. Results indicated that all the test samples showed immune having immune enhancing potential. Isolated probiotic DNA was observed to be more immune active as compared to whole cell probiotic cells, whereas DLCNP had maximum immune enhancing potential. The results of NBT reduction observed for DLCNP treated cells was 71% as compared to 56% in LA DNA treated cells. DLCNP was 27% more efficient than in reducing NBT as compared to LA DNA.

Similar pattern of results was observed for iNOS as well as for phagocytic activity, maximum activity being shown by DLCNP, followed by naked DNA treated cells and whole cell probiotic. DLCNP showed 20% and 22% more iNOS and phagocytic activity than LA DNA respectively. Figure 5 shows the graphical representation of NBT reduction, iNOS activity and phagocytic activity of all the test sample treated groups.

In vivo immunomodulatory potential of whole cell probiotic LA DNA and DLCNP

Cell mediated immune response

NBT reduction, iNOS and Bactericidal activity

Probiotic genomic DNA and DLCNP significantly ($p < 0.05$) increased NBT reduction as compared to immunized control group. Naked probiotic DNA administered through i.m route showed NBT reduction of 88% which was higher than shown by DNA administered through i.p route (i.e. 75%). NBT reduction by increased by 4%, when probiotic genomic DNA was administered after loading onto chitosan nanoparticles as depicted in Table 2. However, among all the treated groups, DLCNP was showing maximum NBT reduction efficacy of 92.4%. Pattern of iNOS activity in various groups was similar to that observed in NBT reduction. DLCNP treated group showed maximum iNOS activity which was significantly higher than that observed in untreated normal control ($p < 0.001$). DLCNP was showing 3% higher activity than positive control and 4% higher iNOS activity than Naked DNA administered through i.m route.

Like other two parameters i.e. NBT and iNOS, the bactericidal activity against *E.coli* also followed the same trend as shown in Table 2 and Figure 6. The

effect of probiotic DNA on bactericidal activity was studied in terms of decrease in number of colony forming units (CFU) of *E.coli* (1×10^6). The treatment of animals both with DLCNP and DNA treated group reduced the number of colonies and thus enhanced the bactericidal activity as compared to normal control animals. Naked DNA administered through i.m route showed 93.3% phagocytic activity, which was higher than the group in which DNA was administered through i.p route (88.5%). Maximum phagocytic potential was shown by DLCNP treated group (i.e. 95%).

Delayed Type Hypersensitivity assay

The effect of the isolated DNA and DNA loaded nanoparticles was assessed by the footpad swelling, a measure of Delayed type hypersensitivity as mentioned in Table 3.

The effect was compared with immunized control and with untreated normal control group. In normal control group, no rise in footpad thickness was observed. In DLCNP and LA DNA treated group significant elicitation of the T-cells response was evident by an increase in footpad thickness as compared to CNP and immunized control groups after 24 hours and 48 hours. It was found that DLCNP and LA DNA treated groups showed more rise in footpad thickness as compared to positive control group i.e. Levamisole treated after 48 hours. The maximum thickness of footpad was observed at 48 hours in the animals treated with DLCNP and LA DNA treated group respectively.

Humoral Immune Response

Anti SRBC- antibody titre by direct haemagglutination assay for each group was done on 0th, 8th, 13th and 20th day post immunization. No anti SRBC antibody-titre was observed on day 0. Higher titre was observed in the DLCNP treated group as compared to LA DNA and CNP treated group on all days of treatment (as seen in Figure 7). On 13th day, the highest antibody-titre in various groups was 1:1024, 1:256, 1:32, 1:64, 1:16 in DLCNP, LA DNA, CNP treated, positive and immunized control groups respectively.

In positive control group i.e. Levamisole treated group, 1:64 titre was observed whereas in immunized control group titre was found to be 1:16 on 13th day. On 20th day DLCNP treated group showed an anti-SRBC antibody titre of 1:128 followed by 1:32 in LA DNA (i.m) and 1:16 in LA DNA (i.p), Positive control and CNP treated groups.

DISCUSSION

The side-effects of chemical based drugs such as dizziness, impotence, weakness and nausea¹⁹ for many lifestyle diseases prevailing nowadays like like metabolic diseases, asthma, heart diseases, inflammatory bowel disease, neurological diseases, rheumatoid arthritis, diabetes etc., as well as consumer awareness is leading the people towards alternative natural and safe therapies for treatment of diseases. Immunotherapy through probiotics²⁰ or medicinal plants^{21,22} is one of the best natural ways of treating

diseases. The present work was conducted to find out the immunomodulatory efficacy of probiotic DNA loaded onto chitosan nanoparticles both *in vitro* and *in vivo*. Previous studies report probiotics as efficient immunomodulators. Moreover, probiotics have different components which increase the immune response of the host. The immune effects are exerted by live bacteria²³ and inactivated or heat killed bacteria²⁴ or cell components such as peptidoglycan, lipoteichoic acid and DNA, Unmethylated CpG DNA motifs and short sequences of DNA also act as immunomodulatory^{25,26}. Because of the GRAS status and beneficial effects of probiotics and their components, probiotic genomic DNA was selected in the present study. Further probiotic genomic DNA was loaded onto the chitosan nanoparticles and was subjected to various *in vitro* and *in vivo* immunological tests to evaluate its immunomodulatory potential. The loading of DNA onto nanoparticles was carried to protect it from the nucleases degradation⁵. Different materials are available these days to make nanoparticles such as alginate, dextran, pullulan, etc⁴. The most compatible material to form DNA complex is chitosan because of its properties such as biocompatibility, non-toxicity, high mechanical strength and susceptibility to chemical modifications. Chitosan is a naturally existing polymer and is cost-effective²⁷.

SEM studies show that DNA loaded chitosan nanoparticles formed are spherical/oval shaped with a size ranging between 350nm to 515nm. The TEM images revealed that the complexes had well-formed spherical shape and compact structure. Moreover, the size of nanoparticles as depicted by TEM data was ranging in between 100 – 350nm. Study on nanoparticle shape's internalization efficiency showed that spherical particles are being internalized 500% more efficiently than the particles having rod-shaped structure of similar size²⁸. The nanoparticles upto the size of 400nm are displayed to B-cells or T-cells (approx. 8µm in size), leading to release of cytokines and chemokines²⁹.

DLS study revealed that the DNA loaded Chitosan Nanoparticles had a Zeta potential of $+17.7\text{mV} \pm 5.07$ and a size of $313\text{nm} \pm 7.54$. The net positive charge of the particles is needed to prevent the aggregation of particles and to promote electrostatic interaction with the cell membranes (being negatively charged). Particle size and charge play important roles in transferring the DNA into cells and they also have a greater impact on particle distribution in the body. The smaller sized particles can enter the cells through endocytosis and/or pinocytosis, which increases the transfection rate³⁰.

Sun et al.¹¹ suggested that at lower pH, most of the amino groups of chitosan are protonated, enabling the chitosan molecule with an extension confirmation due to strong charge repulsion. With increasing pH, the size gets reduced due to relieve in extended confirmation. The reason for initial increase in zeta potential at pH 4.5 and then decrease at pH 5.5 respectively, is not very clear so far. This process implies that the positively charged

surface of the chitosan particles is shielded at pH 4.5, which can be a result of reorganization of the molecule structure¹¹. Loading capacity and efficiency results are supported by the earlier studies done on loading of DNA onto nanoparticles showing 100% loading efficiency and 0.1- 0.8% loading capacity. More than 90% loading efficiency indicates best DNA-vehicle interaction¹¹. Moreover, a higher concentration (approximately 0.2%) of chitosan, confer stability of nanoparticles inside body systems⁷.

Before conducting the experiments for immune enhancing activity, the DNA release experiments were carried out *in vitro* to find out the most efficient route for DLCNP delivery in body. As DNA release is affected by pH, experiments were carried out at two different pH; i.e. physiological pH 7.4 (same as of peritoneum) and stomach pH 2.1. Results showed that DNA release occurs gradually for 3-4 days at physiological pH as compared to the fast release of upto 90% in first 3 hours of incubation in acidic conditions. Hence, i.p route was selected and followed for administration of DNA loaded chitosan nanoparticles in experimental animals.

In vitro immunocharacterization results indicated that the DNA loaded chitosan nanoparticles showed maximum immune enhancing activity. Based on the results of *in vitro* experiments, the *in vivo* experiments were designed using Balb/c mice as experimental model to find out the immune efficacy of DLCNP. In *in vivo* experiments, various test groups alongwith suitable controls were planned and divided into seven groups. The results of nitroblue tetrazolium reduction (NBT) test, iNOS, phagocytic activity, DTH response and humoral immune response showed that the DLCNP was better immune stimulator than all the other groups. NBT is an indirect marker of the oxygen dependent bactericidal activity of phagocytes³¹. The NBT dye after phagocytosis is reduced to formazon, which is measured spectrophotometrically³². The functional ability of phagocytes was evident from increased expression of iNOS that oxidizes L-arginine to citrulline and nitric oxide. This activity is correlated to the phagocytic activity of macrophages and has been employed to measure the immunomodulatory potential^{33,34}.

The footpad thickness was observed maximum in the DLCNP treated group after 48 hours of treatment. DTH is a good measure of cell mediated immune response and is assessed by foot pad swelling assay which is an expression of T- cell response^{35,36}. In humoral immune response, the SRBC mediated immune response is a highly sensitive indicator of integrity of immune system cells, which also requires the coordinated interaction of various cells and their products³⁷. Hence, all the cell mediated and humoral immunological tests showed that DLCNP is better immunopotentiator than LA DNA.

The results indicate positive interaction between the probiotic DNA and the chitosan nanoparticles. The underlying mechanism behind formation of DNA-Chitosan complex is the DNA interaction with the

cationic polysaccharide, Chitosan. After complete binding of the DNA with the polycation ligand, the DNA-Chitosan complex crosses through the cell membrane of the host cell and enters endosome³⁸. Chitosan nanoparticles exhibit proton sponge effect and swelling occurs due to the acidic pH inside the endosome³⁹, which are further released into cytoplasm. Through the cytoplasm the DNA-Chitosan complex enters into the nucleus through trafficking, leading to the production of the cytokines. The balance of Th1 & Th2 cytokines play a role in the activation of the various immune cells in enhancing the immunomodulatory potential for treating various diseases. The present study makes us to conclude that loading of DNA onto nanoparticles enhances its immune efficacy and can be applied as immunotherapeutic agent to manage/modify the immune related disorders.

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