

Wavelength Tunability in Targeted Drug Delivery: The Role of Rare Earth Elements

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ABSTRACT

The convergence of luminescence materials science and targeted drug delivery has opened new frontiers in precision medicine. This review examines recent advances in wavelength-tunable luminescent materials, with particular emphasis on rare earth (RE)-doped systems engineered for biomedical applications. The unique 4f electron configurations of lanthanide ions enable exceptional photon upconversion and downconversion properties, allowing deep tissue penetration and real-time therapeutic monitoring. We discuss the material platforms—including fluoride-based hosts, oxide composites, and core-shell architectures—that have emerged as promising candidates for integrating wavelength tunability with drug delivery functionality. Particular attention is given to the mechanistic understanding of energy transfer processes, doping strategies that enable spectral control, and the biological considerations that guide material design. Recent developments demonstrate that RE-doped nanomaterials not only serve as imaging agents but actively participate in therapeutic release mechanisms, offering spatiotemporal control over drug activation. Challenges regarding quantum yield optimization, biocompatibility validation, and clinical translation are considered alongside emerging solutions involving lithium enhancement and multishell architectures.

Keywords: wavelength-tunable, host material, dopant stagiest, therapeutic release mechanisms, clinical translation

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1. INTRODUCTION

The quest for precision in therapeutic intervention has driven considerable interest in materials that respond to external stimuli with predictable and controllable behaviors. Light, with its remarkable spatial and temporal precision, represents a particularly attractive trigger for drug release and activation [1]. Among light-responsive systems, luminescent materials capable of wavelength conversion—absorbing low-energy photons and emitting higher-energy radiation—offer unique advantages for biomedical applications where tissue penetration depth and minimal autofluorescence are paramount considerations.

Targeted drug delivery systems face a fundamental challenge: confirming that the therapeutic payload has reached its intended destination and been released in appropriate quantities. Traditional approaches rely on

indirect measurements or invasive sampling, but luminescence-based monitoring offers the possibility of real-time, non-invasive tracking [2]. The ideal material for this purpose would combine efficient wavelength conversion, biocompatibility, and the ability to interface with therapeutic molecules without compromising their activity.

Rare earth elements, with their systematically varying 4f electron configurations, provide an almost ideal platform for such applications. The ladder-like energy levels of lanthanide ions enable sequential photon absorption and energy transfer processes that convert near-infrared (NIR) excitation into visible or ultraviolet emission—a phenomenon known as upconversion [3]. This capability proves particularly valuable because NIR light penetrates biological tissues more deeply than visible or ultraviolet wavelengths while causing minimal photodamage [4].

Luminescent Materials for Precision Medicine

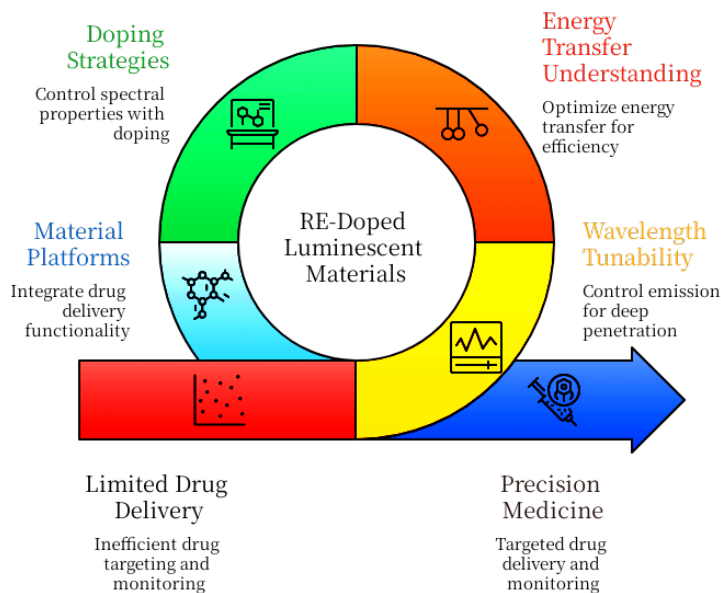


Figure 1: Application of RE dope material

This review synthesizes recent developments in wavelength-tunable luminescent materials incorporating rare earth elements, with specific attention to their potential applications in targeted drug delivery. We examine the materials themselves, the strategies for achieving wavelength control, and the emerging evidence that these systems can simultaneously track and trigger therapeutic action.

2. PRINCIPLES OF RARE EARTH LUMINESCENCE FOR BIOMEDICAL APPLICATIONS

2.1 Electronic Structure and Optical Transitions

The optical behavior of rare earth ions originates from their partially filled 4f orbitals, which are shielded by outer 5s and 5p electrons. This shielding minimizes the influence of the local crystal field on electronic transitions, resulting in sharp emission lines that are characteristic of each ion rather than the surrounding matrix [5]. The practical consequence for drug delivery applications is predictability: an erbium-doped nanoparticle will emit at approximately 540 nm (green) and 660 nm (red) regardless of modest variations in synthesis conditions, provided the local symmetry remains appropriate.

Rare Earth Ion Optical Behavior

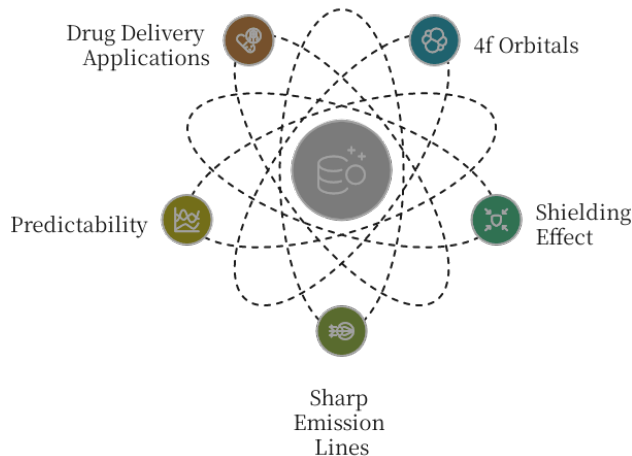


Figure 2: rare earth Ion optical Behavior

Trivalent lanthanide ions (RE^{3+}) exhibit numerous metastable excited states with lifetimes ranging from microseconds to milliseconds. These relatively long lifetimes prove essential for upconversion processes, as they allow sequential absorption of multiple photons

before relaxation occurs. Table 1 summarizes the optical properties of commonly employed rare earth ions in drug delivery systems.

Table 1: Characteristic Optical Properties of Rare Earth Ions Used in Drug Delivery Applications

Ion	Role	Excitation (nm)	Emission (nm)	Typical Transitions	Applications
Yb ³⁺	Sensitizer	980	~1000	² F _{7/2} → ² F _{5/2}	Energy transfer to activators
Er ³⁺	Activator	980 (via Yb)	410, 520, 540, 660	² H _{11/2} → ⁴ I _{15/2} , ⁴ S _{3/2} → ⁴ I _{15/2}	Imaging, drug tracking
Tm ³⁺	Activator	980 (via Yb)	360, 450, 475, 800	¹ D ₂ → ³ F ₄ , ¹ G ₄ → ³ H ₆	UV-triggered release
Ho ³⁺	Activator	980 (via Yb)	540, 650, 750	⁵ S ₂ → ⁵ I ₈	Multicolor imaging
Gd ³⁺	Matrix/Host	N/A	310	⁶ P _{7/2} → ⁸ S _{7/2}	MRI contrast

2.2 Upconversion Mechanisms

Upconversion in rare earth-doped materials proceeds through several distinct mechanisms, each with implications for wavelength tunability and drug delivery applications. The most efficient process in well-designed nanomaterials is energy transfer upconversion (ETU), wherein a sensitizer ion (typically Yb³⁺) absorbs sequential photons and transfers the accumulated energy to an adjacent activator ion (such as Er³⁺ or Tm³⁺) [6]. The efficiency of ETU depends critically on the distance between sensitizer and activator ions, which is controlled by doping concentration. At low activator concentrations, each sensitizer transfers energy to a nearby activator, producing characteristic emission. As concentration increases, cross-relaxation between adjacent activator ions becomes significant, populating higher-energy levels through multiphonon processes [7]. This concentration-dependent behavior provides one mechanism for wavelength tuning: higher Tm³⁺ doping in LiYbF₄ nanoparticles promotes four- and five-photon upconversion processes, yielding ultraviolet emission suitable for activating photocaged drug molecules [8].

3. MATERIAL PLATFORMS FOR WAVELENGTH-TUNABLE DRUG DELIVERY

3.1 Fluoride-Based Host Materials

Fluoride compounds, particularly those based on NaYF₄, NaGdF₄, and LiYbF₄, represent the most extensively studied host matrices for upconverting nanoparticles. Their low phonon energies (approximately 350-400 cm⁻¹) minimize non-radiative relaxation between energy levels, preserving excitation energy for radiative transitions [9]. Skripka and colleagues systematically investigated LiYbF₄ nanoparticles doped with Tm³⁺, Er³⁺, or Ho³⁺, demonstrating that the high concentration of Yb³⁺ sensitizer ions in this host creates an interconnected energy migration network [10]. Excitation energy confined within this network efficiently funnels to activator dopants, producing bright upconversion emission even at low excitation power densities. For drug delivery applications, this efficiency translates to reduced laser power requirements and minimized potential for thermal damage to surrounding tissues.

The core-shell architecture has proven essential for optimizing these materials. Undoped shells (such as

LiYF₄) grown around luminescent cores passivate surface defects that would otherwise quench emission through

non-radiative pathways [11]. This approach maintains the high brightness necessary for tracking nanoparticles as they circulate through the body and accumulate at target sites.

3.2 Oxide-Based Composite Systems

While fluorides offer superior upconversion efficiency, oxide-based materials provide advantages in stability, drug loading capacity, and multifunctionality. Zhang and colleagues recently developed lithium-doped mesoporous bioactive glass microspheres (7Li-MBGs) incorporating Er₂O₃ and Yb₂O₃ as activator and sensitizer, respectively [12]. This composite system serves multiple functions simultaneously. The mesoporous structure (pore size approximately 5-8 nm) accommodates substantial drug loading—in this case, mitoxantrone hydrochloride for multiple myeloma treatment. The bioactive glass composition promotes bone repair, addressing the osteolytic lesions characteristic of multiple myeloma. Rare earth oxides provide upconversion luminescence for real-time monitoring of drug release while Lithium doping enhances both upconversion intensity and antibacterial activity

The lithium ions, with their small ionic radius, reduce symmetry around rare earth emitters and decrease lattice strain, resulting in enhanced upconversion intensity [13]. This observation points to a general design strategy: incorporating alkali metal ions into host matrices can improve luminescence without altering the fundamental emission wavelengths of the activator ions.

3.3 Core-Shell Engineering for Spectral Control

Precise control over emission wavelengths requires sophisticated nanostructure design. The ability to separate absorption and emission processes spatially within a single nanoparticle has enabled unprecedented spectral tunability. In a representative approach, researchers synthesized core-shell nanoparticles where the core absorbs NIR photons and converts them to excited electrons, which then migrate to rare earth dopants in the shell before relaxing and emitting light [14].

This spatial separation offers several advantages for drug delivery applications with Multiple emission colors can be generated from a single nanoparticle by incorporating different activators in distinct shell layers. The Energy transfer to surface-bound drug molecules can be controlled by adjusting shell thickness, the core-shell architecture protects rare earth ions from the surrounding biological environment, maintaining luminescence stability. By varying dopant combinations and shell compositions,

researchers have achieved upconverted luminescence spanning from violet through blue, green, yellow, and red, all under 980 nm excitation [15]. This multicolor capability enables multiplexed tracking of different therapeutic populations or simultaneous monitoring of multiple release events.

4. WAVELENGTH TUNABILITY STRATEGIES

4.1 Doping Concentration Effects

The concentration of activator ions in a host matrix profoundly influences both the efficiency and spectral distribution of upconversion emission. At low concentrations, activator ions behave independently, each

emitting according to its characteristic energy level structure. As concentration increases, interactions between adjacent ions become significant, opening new relaxation pathways.

In Tm^{3+} -doped systems, increasing activator concentration promotes cross-relaxation processes that populate the 1D_2 level, enabling four- and five-photon upconversion processes that produce ultraviolet emission [8]. This concentration-dependent behavior provides a straightforward method for tuning emission spectra: lower concentrations favor blue and NIR emission, while higher concentrations enhance ultraviolet components.

Table 2: Concentration-Dependent Emission Properties of Rare Earth Activators

Activator	Low Concentration Emission	High Concentration Emission	Dominant Cross-Relaxation Pathways
Tm^{3+}	Blue (475 nm), NIR (800 nm)	UV (360 nm), enhanced blue	$^3F_4 + ^3H_4 \rightarrow ^3H_6 + ^1D_2$
Er^{3+}	Green (540 nm) dominant	Red (660 nm) enhanced	$^4S_{3/2} + ^4I_{9/2} \rightarrow ^4I_{13/2} + ^4I_{11/2}$
Ho^{3+}	Green (540 nm)	Red (650 nm), NIR (750 nm)	$^5S_2 + ^5I_7 \rightarrow ^5I_6 + ^5I_8$

4.2 Excitation Power Density as a Tuning Parameter

Beyond doping concentration, the power density of the excitation source provides dynamic control over emission spectra. At low excitation power densities, lower-order upconversion processes dominate because they require fewer sequential absorption events. As power density increases, higher-order processes become competitive, potentially shifting the emission spectrum toward shorter wavelengths [16].

This power-dependent behavior offers a potential mechanism for on-demand control during therapy: a single nanoparticle formulation could be switched between imaging mode (low power, dominant visible emission) and therapeutic activation mode (high power, enhanced UV emission for drug photorelease) simply by adjusting laser intensity.

4.3 Host Matrix Engineering

The choice of host material influences not only upconversion efficiency but also the relative intensities of different emission bands. Crystal field symmetry affects the probability of various electronic transitions, potentially enhancing or suppressing specific emission lines. Lithium doping, as mentioned previously, modifies the local crystal field around rare earth ions, increasing overall emission intensity without substantially altering spectral distribution [13].

More dramatic spectral changes can be achieved by combining different host materials in core-shell architectures. For example, $NaErF_4$ cores surrounded by $NaYF_4$ shells and decorated with gold nanoclusters have been used to create dual-function nanoparticles that emit at multiple wavelengths for both imaging and photodynamic therapy [17].

5. APPLICATIONS IN TARGETED DRUG DELIVERY

5.1 Real-Time Drug Release Monitoring

One of the most promising applications of wavelength-tunable luminescent materials lies in monitoring drug release kinetics at target sites. Traditional drug delivery systems operate as "black boxes"—therapeutic agents are administered, but their release and distribution remain invisible until clinical outcomes manifest. Luminescent materials change this paradigm by providing continuous optical feedback.

Zhang and colleagues demonstrated this concept using $7Li-MBGs:2Er/2Yb$ microspheres loaded with mitoxantrone hydrochloride (MTO) [12]. The upconversion luminescence of the rare earth oxides served as an internal reporter: as MTO released from the mesoporous structure into the surrounding medium, the luminescence intensity changed in a manner correlating with cumulative drug release. This correlation enabled real-time monitoring of release kinetics, providing information that would otherwise require destructive sampling or indirect measurements.

The mechanism underlying this monitoring capability involves several factors. Drug molecules within the pores may absorb or scatter emitted light, attenuating the detected signal. As drug concentration decreases, this attenuation diminishes, allowing more luminescence to reach the detector. Additionally, changes in the local refractive index as pores empty may affect light propagation through the material.

5.2 Photon-Controlled Drug Activation

Beyond passive monitoring, wavelength-tunable materials can actively participate in therapeutic release through photon-controlled processes. The ability of upconverting nanoparticles to convert deeply penetrating NIR light into ultraviolet or visible emission enables the use of photoresponsive drug delivery mechanisms that would otherwise require harmful short-wavelength excitation.

Researchers have gotten quite creative when it comes to making drug delivery more precise, moving away from a simple "one-and-done" release. One clever approach uses photocleavable linkers—think of it like a molecular leash. Scientists attach drug molecules to a nanoparticle using a special chemical tether, often involving a group called *o*-nitrobenzyl. When they shine ultraviolet (UV) light on it, the leash snaps, releasing the drug exactly where and when it's needed [18]. Another strategy takes inspiration from tiny machines with photoswitchable carriers, like mesoporous silica particles coated with azobenzene. Under certain light conditions, these azobenzene molecules physically flip their shape, acting like a switch that either opens or closes the pores to control how fast a drug leaks out [19]. Then there's the photothermal method, which is a bit like using a remote control to generate heat. Some materials are really good at absorbing light and converting it into heat; that heat can then be used to melt or destabilize a special temperature-sensitive coating, triggering a burst of the drug [20].

What makes these strategies so exciting is that they all rely on a specific type of light—usually high-energy UV or visible light—to work. But UV light doesn't penetrate the body very well, which is a major hurdle. This is where the lanthanide-doped nanocrystals developed by Liu's team come into play. These tiny particles act as remarkable light converters. When you shine near-infrared (NIR) light—which can safely pass through skin and tissue—onto them, they absorb it and re-emit it as the precise UV or visible light needed to activate those cleavable linkers, flip those molecular switches, or generate the necessary heat [18]. In essence, they provide the key that finally unlocks these sophisticated, light-controlled delivery systems for use deep inside the body.

5.3 Theranostic Platforms

The combination of therapeutic and diagnostic functions within single nanoparticle systems—termed theranostics—represents an important trend in precision medicine. Rare earth-doped luminescent materials are particularly well-suited to this role because they provide imaging contrast while serving as drug carriers.

A representative example is the NaErF₄@NaYF₄ system modified with cyclodextrin-capped gold nanoclusters developed by Hu and colleagues [17]. This design so clever is how it works like a well-coordinated team, with each component playing a distinct role in the fight against cancer. Imagine being able to see the tumor in multiple "colors" at once—that's exactly what the upconversion emission at three different wavelengths allows, giving doctors a much clearer picture of what's happening inside the body. Meanwhile, the gold nanoclusters act like tiny scouts, quietly waiting for a signal. They're designed to respond to glutathione, a molecule that tumors produce in high amounts, so they only become active where they're needed most. Then there's the cyclodextrin, which works like a molecular backpack, carrying a powerful chemotherapy drug called doxorubicin directly to the cancer cells. And as if that weren't enough, this same

nanoparticle system can also trigger photodynamic therapy—using light to activate a photosensitizer that selectively destroys cancerous tissue. It's a truly integrated approach, combining diagnosis, targeted treatment, and multiple therapies all in one tiny, intelligent package. Such integrated platforms exemplify the trend toward multifunctionality in nanomedicine, where materials are designed from the outset to address multiple clinical requirements rather than serving single purposes.

6. BIOLOGICAL CONSIDERATIONS AND BIOCOMPATIBILITY

6.1 Surface Functionalization for Biological Compatibility

Bare rare earth nanoparticles interact with biological systems in complex ways. Proteins adsorb to their surfaces, forming coronas that may alter targeting efficiency and biodistribution [21]. The nanoparticles themselves may elicit immune responses or accumulate in off-target organs. Surface modification strategies address these challenges. Polyethylene glycol (PEG) coating reduces protein adsorption and prolongs circulation time by delaying recognition and clearance by the mononuclear phagocyte system [22]. Targeting ligands—antibodies, peptides, or small molecules—can be attached to the PEG layer to direct nanoparticles to specific cell types or tissue compartments.

The choice of surface chemistry also affects luminescence properties. Close proximity of certain functional groups to the nanoparticle surface can quench emission through energy transfer or electron transfer processes. Careful spacer design, often using PEG chains of optimized length, maintains separation between the luminescent core and potentially quenching surface moieties.

6.2 Toxicity Profiles

The safety of rare earth-containing nanomaterials for human use remains under active investigation. While acute toxicity in cell culture systems is often low at concentrations relevant for imaging and drug delivery, questions persist about long-term accumulation and chronic effects. Lanthanide ions released from degrading nanoparticles may interfere with calcium-dependent biological processes, given their similar ionic radii and coordination preferences. The extent of ion release depends on nanoparticle composition and stability: fluoride hosts resist dissolution better than some oxide materials, potentially reducing free ion concentrations in tissues [23]. Recent work has emphasized the importance of comprehensive toxicological evaluation, including assessment of biodistribution, metabolism, and excretion pathways [24]. The ideal nanoparticle would either degrade into biocompatible components that are cleared renally or remain sufficiently stable to be eliminated intact through hepatobiliary routes.

7. CHALLENGES AND FUTURE DIRECTIONS

7.1 Quantum Yield Limitations

Despite significant progress, the upconversion quantum yields of rare earth nanoparticles remain modest compared

to conventional fluorophores. Values typically range from 0.1% to 5%, depending on excitation power density and nanoparticle architecture [11]. This limitation affects sensitivity for detecting small numbers of nanoparticles, potentially constraining applications requiring tracking of individual delivery vehicles.

Researchers are actively exploring several clever ways to get around this limitation, each tackling the problem from a different angle. One promising approach is dye sensitization, which essentially acts as a light-harvesting helper. Think of it like adding a wide net to catch more sunlight—organic dyes are great at soaking up near-infrared light and then passing that energy along to the rare earth ions, effectively giving them a much bigger appetite for light than they'd have on their own [25]. Another strategy, called plasmonic enhancement, takes a more physical approach by placing tiny gold or silver nanostructures right next to the emitters. These metal bits act like miniature antennas, concentrating the local electromagnetic field to help the rare earth ions glow faster and more brightly. Finally, researchers are rethinking the very host material that holds these ions. Instead of sticking with the traditional fluoride compounds, they're experimenting with new compositions to create a more supportive environment that allows for smoother, more efficient energy transfer all around.

7.2 Multimodal Imaging Integration

While upconversion luminescence provides excellent sensitivity and spatial resolution, its tissue penetration depth remains limited to millimeters or centimeters. For whole-body applications, integration with other imaging modalities offers complementary advantages. Magnetic resonance imaging (MRI) provides anatomical context and unlimited tissue penetration. Gadolinium-containing nanoparticles serve dual functions as upconversion hosts and MRI contrast agents [26]. Similarly, radionuclide labeling enables positron emission tomography (PET) or single-photon emission computed tomography (SPECT) imaging, offering quantitative whole-body biodistribution information.

7.3 Clinical Translation Pathways

The transition from laboratory demonstration to clinical application requires addressing regulatory, manufacturing, and practical considerations that often receive insufficient attention in academic research. Scalable synthesis methods that reproducibly produce nanoparticles with controlled properties are essential. Sterilization methods must not degrade luminescence or drug loading capacity. Formulations must remain stable during storage and administration.

Regulatory pathways for combination products—those incorporating both a drug and a device (the nanoparticle delivery system)—are complex, requiring demonstration of safety and efficacy for the combined entity rather than separate evaluations of components [27].

8. CONCLUSION

Rare earth-doped luminescent materials have advanced considerably in recent years, moving from fundamental

photophysical studies toward practical applications in targeted drug delivery. The ability to tune emission wavelengths through doping concentration, host composition, and excitation conditions provides multiple degrees of freedom for matching material properties to specific therapeutic requirements. Fluoride-based hosts offer superior upconversion efficiency, while oxide composites provide multifunctionality including drug loading capacity and bioactive properties. Core-shell architectures enable spatial separation of functions and protect luminescent centers from environmental quenching. Together, these material platforms support real-time drug release monitoring, photon-controlled activation, and integrated theranostic applications.

Challenges remain, particularly regarding quantum yield optimization and comprehensive biocompatibility validation. However, the rapid pace of development suggests that clinical applications may emerge within the coming decade, particularly for localized treatments where the penetration limitations of optical imaging are less restrictive. The convergence of wavelength-tunable luminescence with targeted drug delivery represents a promising direction for precision medicine, offering the possibility of watching therapies work in real time while maintaining control over their activation.

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Conflict of Interest

There is no conflict of interest either commercially or technologically

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