

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

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## ABSTRACT

Cloud resource allocation faces significant challenges due to unpredictable workloads, heterogeneous demands, and strict Service Level Agreement (SLA) requirements. This paper presents ARA-HERL (Adaptive Resource Allocation using Hybrid Evolutionary Reinforcement Learning), a hybrid optimization framework that integrates a priority-aware task ranking mechanism (RA-PET), Non-dominated Sorting Genetic Algorithm II (NSGA-II), and Proximal Policy Optimization (PPO) to enhance cloud scheduling and resource management. Specifically, RA-PET prioritizes tasks based on urgency, execution time, and cost to guide scheduling decisions. NSGA-II explores the solution space and generates Pareto-optimal trade-offs among conflicting objectives such as makespan, throughput, cost, and energy efficiency. PPO then adaptively refines allocation strategies, enabling the system to learn from workload fluctuations and dynamically adjust resource distribution. Simulation results on CloudSim Plus demonstrate that ARA-HERL achieves lower makespan, reduced execution cost, higher throughput, and improved resource utilization compared to traditional scheduling methods. Furthermore, the framework enhances SLA compliance under dynamic workloads and exhibits scalability for large task sets. Overall, this genetic–neural hybrid computing approach provides a practical and adaptive solution for optimized resource allocation in modern cloud infrastructures.

**Keywords:** Cloud Computing, Resource Allocation, NSGA-II, Deep Reinforcement Learning, Multi-Objective Optimization, Service Level Agreement

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## 1 Introduction

Conventional cloud resource allocation strategies often struggle with fluctuating workloads and heterogeneous service demands, leading to underutilized resources, increased operational costs, and frequent violations of Service Level Agreements (SLAs). Modern cloud computing techniques along with neural computing is offering highly scalable and flexible computational services. It is featuring with on-demand provisioning of resources such as virtual machines (VMs), memory, storage, and bandwidth to meet diverse user requirements.

To address these challenges, researchers have increasingly adopted synergistic algorithmic approaches that combine heuristic optimization with machine learning techniques. Such methods enhance system efficiency, improve scalability, and strengthen SLA adherence. For example, the Non-dominated Sorting Genetic Algorithm II (NSGA-II) has been applied to improve energy efficiency in cloud environments [1], while machine learning techniques have demonstrated utility in improving security and

resource prediction [2]. In the Power Internet of Things (PIoT), the Strength Pareto Evolutionary Algorithm 2 (SPEA2) has been leveraged for optimal placement of edge servers, reducing latency and energy consumption [3]. Similarly, hybrid methodologies that integrate evolutionary optimization with intelligent learning have shown promise in addressing the dynamic and unpredictable nature of cloud systems. For instance, enhanced versions of SPEA2 combined with local search techniques improve convergence in multi-objective optimization [5], and the integration of NSGA-II with neural networks (NN) enables predictive and scalable resource management [6]. Furthermore, hybrid models combining Random Forests with Genetic Algorithms (GA) have significantly improved resource utilization and SLA compliance [7].

Recent works also explore combinations of genetic algorithms with deep learning (DL) to exploit GA's global search capability alongside DL's predictive strengths, resulting in improved scheduling efficiency [8, 9]. In containerized environments, hybrid task scheduling strategies such as antlion optimization [11]



# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

reinforcement learning, providing a robust decision-making mechanism that balances trade-offs among performance, energy consumption, and utilization. Collectively, these studies highlight the potential of hybrid metaheuristic–learning models in advancing intelligent cloud management.

While prior research has delivered substantial progress, unresolved issues remain in the areas of real-time adaptability, SLA compliance, and multi-objective optimization. This motivates the present study, which proposes a hybrid framework integrating NSGA-II with Deep Reinforcement Learning (DRL). By combining global multi-objective optimization with adaptive policy learning, the proposed approach ensures dynamic, scalable, and SLA-aware resource management, contributing to the evolution of next-generation intelligent cloud infrastructures.

**Table 1** Comparison of resource scheduling algorithms

Category	Algorithm	Advantages	Limitations	References
Classical Scheduling	Shortest Job First (SJF)	Minimizes average waiting time for short tasks	Risk of long-task starvation	[23]
	Priority Scheduling	Ensures important tasks are prioritized	Starvation of low-priority tasks	[23]
	Round Robin	Fair allocation across all tasks	Higher context-switch overhead	[23]
Learning-based Scheduling	Deep Q-Network (DQN)	Handles complex environments adaptively	Computationally expensive	[24]

	Multi-user Multi-datacenter Scheduling	Considers multiple users and data centers	Higher complexity and coordination overhead	[25]
Metaheuristic Scheduling	Deep Reinforcement Learning	Learns patterns for accurate decision-making	Requires extensive training and resources	[26], [30]
	Particle Swarm Optimization (PSO)	Simple, fast convergence	May get trapped in local optima	[31], [32]
Hybrid Scheduling	RA-PET with NSGA-II + PPO (ARAH-ERL Algorithm)	Leverages exploration (NSGA-II) and adaptive learning (PPO)	Hyperparameter tuning required	Proposed

Mostafavi and Hakami [28] proposed a task scheduling method that anticipates future system states to improve performance under changing workloads. Wei et al. [29] developed a QoS-aware scheduling framework that adapts resource allocation based on job requirements and system conditions. Both studies show how predictive and adaptive scheduling can enhance efficiency and service quality in cloud environments. More recent works extend these foundations. A hybrid Artificial Bee Colony (ABC) algorithm with reinforcement learning in [33] demonstrated effective load balancing through multi-objective task scheduling. SLA-driven container consolidation with predictive modelling in [34] reduced energy consumption while ensuring SLA adherence in green cloud environments. Task-priority and consumption-aware resource allocation models, such as those introduced in [35], optimize edge computing efficiency by aligning allocation strategies with workload intensity. Similarly, [36] proposed a multi-class task scheduling framework

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

that integrates user task priorities with load balancing, showing improvements in system throughput and SLA compliance.

## 3 Model Design

### 3.1 Mathematical Modelling

This section presents the mathematical modelling of performance metrics and other responsible values used to optimize cloud resource allocation in the proposed algorithms within a cloud computing environment.

#### 3.1.1 The Multi-Objective Evolutionary Component- NSGA-II

The implementation begins with NSGA-II to address the multi-objective optimization challenge. This evolutionary algorithm efficiently generates a Pareto front of non-dominated solutions, capturing trade-offs among makespan, throughput, resource utilization, execution cost, and SLA compliance. Rather than a single outcome, the Pareto front provides cloud providers with multiple allocation options, which can be dynamically integrated with PPO based reinforcement learning to select solutions aligned with evolving operational priorities.

- **Initialization:** The NSGA-II algorithm begins with a randomly generated population  $P_0$  of size  $N$ , shows in equation (1), where each  $x_i$  represents a resource allocation strategy i.e. task to VM mappings & VM activations.

$$P_0 = \{x_1, x_2, x_3, \dots, x_N\}$$

(1)

- **Evaluation:** Each individual  $x_i$  in the population is evaluated based on the objective functions. The objectives aim to minimize makespan in equation (2), cost of execution in equation (3), power consumption in equation (4), and resource utilization deviation in equation (5), while maximizing throughput in equation (6) and resource utilization in equation (7). For all the variable or parameters used in the equations are mentioned in the Table 2, Table 3 and Table 4.

$$\text{Minimize Make span} = \sum_{i \in T} \left( \sum_{j \in M} (p_{ij} \cdot x_{ij}) \right)$$

(2)

$$\text{Minimize Cost of Execution} = \sum_{j \in M} (c_j \cdot y_j)$$

(3)

$$\text{Minimize Power Consumption} = \sum_{t \in T} \sum_{j \in M} (e_j \cdot y_j^t)$$

(4)

$$\text{Minimize Resource Utilization Deviation} = \sum_{j \in M} \left( \left| 0.5 - \sum_{i \in T} \left( \frac{r_{ij}}{R_j} \cdot x_{ij} \right) \right| \right)$$

(5)

$$\text{Maximize Throughput} = \sum_{i \in T} \left( \sum_{j \in M} (1/p_{ij} \cdot x_{ij}) \right)$$

(6)

$$\text{Maximize Resource Utilization} = \sum_{j \in M} \left( \sum_{i \in T} (r_{ij} / R_j \cdot x_{ij}) \right)$$

(7)

- **Subject to Constraints-**

$$\text{Service Level Agreement (SLA)} = \sum_{j \in M} (p_{ij} \cdot x_{ij}) \leq SLA_i, \forall i \in T$$

(8)

$$\text{Virtual Machine (VM) resource limits} = \sum_{i=1}^n x_{ij} \leq R_j \forall j \in M$$

(9)

where  $x_{ij}$  represents the allocation of task  $i$  to VM  $j$ , and  $R_j$  is the capacity of VM  $j$ .

$$\text{Task deadline} = \text{Completion}_{T_{ime}i} \leq \text{Deadline}_i, \forall i \in T,$$

(10)

Ensuring that each task is completed before its deadline. The above constraints ensure that tasks are allocated to VMs within SLA limits equation (8), VM capacities are not exceeded equation (9), and all tasks meet their deadlines equation (10).

• **Selection:** In Non-Dominated Sorting Genetic Algorithm individuals are ranked based on their Pareto dominance.

$$\text{Rank}(x) = \begin{cases} 1, & x \text{ is non-dominated} \\ \geq 2, & x \text{ is dominated by other solutions} \end{cases}$$

(11)

• **Crowding Distance Calculation:** Individuals  $x_i$  are selected for the next generation based on the crowding distance to maintain diversity:

$$d_i = \sum_{\text{Objectives}} (f_{i+1} - f_{i-1})$$

(12)

where  $d_i$  represents the crowding distance for individual  $i$ , and  $f_{i+1}, f_{i-1}$ , are the objective function values of its neighbors.

• **Crossover:** Genetic operators combine the parents' information to create new offspring

$$x^{(\text{new})} = \alpha \cdot x^{(\text{parent } 1)} + (1 - \alpha) \cdot x^{(\text{parent } 2)}$$

(13)

where  $\alpha \in [0,1]$  controls the mix between the two parents.

- **Mutation:** Apply mutation to modify the offspring to explore new areas of the search space

$$x^{(\text{mutated})} = x^{(\text{new})} + \delta$$

(14)

where  $\delta$  is a small perturbation. In the above equations, The NSGA-II process ranks individuals by Pareto dominance in equation (11), calculates crowding distance for diversity in equation (12), applies crossover to blend parent traits in equation (13), and uses mutation to explore new solutions in equation (14).

#### 3.1.2 The Adaptive Learning Component- A PPO Model

NSGA-II generates robust static solutions but lacks real-time adaptability for dynamic cloud environments. To address this, a PPO-based Deep Reinforcement

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

Learning (DRL) agent with neural computing capabilities is integrated, operating within a Markov Decision Process (MDP). The agent observes states, takes actions, and receives rewards to learn optimal resource allocation policies. Training occurs concurrently with NSGA-II, combining global Pareto-optimal exploration with adaptive, real-time decision-making.

- **State ( $s_t$ ):** The RL agent observes the current state  $s_t$  which is the resource allocation generated by NSGA-II. In the below equations, the state  $s_t$  represents VM usage, task assignment, and resource utilization in equation (15).

$$\text{State}(s_t) = (\text{VM usage}, \text{Task assignment}, \text{Resource utilization}, \text{Deadlines}) \quad (15)$$

- **Action ( $a_t$ ):** The PPO agent chooses an action  $a_t$ . Action like Allocate a task to a specific VM, activate or deactivate a VM. prioritize certain tasks or migrate a task.
- **Policy  $\pi\theta(\text{als})$ :** The policy is a function that maps a given state to a probability distribution over the available actions. This is the central function that the PPO agent learns to optimize.
- **Actor-Critic Architecture:** PPO is a policy gradient method that utilizes an actor-critic architecture for training stability and efficiency.

Actor: Chooses action  $a_t \sim \pi\theta(a_t | s_t)$

Critic: Estimates Value  $V_\phi(s_t)$

- **Reward Function:** The RL agent's reward function is designed to encourage efficient resource allocation, minimizing makespan, cost, and power consumption while maintaining optimal resource utilization. The RL agent optimizes actions to maximize a reward balancing resource utilization, makespan, cost, based on weighted priorities in equation (16), updating its policy iteratively in equation (17). [26, 27]

$$\begin{aligned} \text{Reward}(R_t) = & -\alpha * \text{Makespan} + \beta * \\ & \text{Throughput} - \gamma * \text{Cost} + \delta * \text{Utilization} - \\ & \epsilon * \text{Power consumption} - \text{PenaltySLA} \end{aligned} \quad (16)$$

where  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ , and  $\epsilon$  are weighting factors that determine the relative importance of each component. The RL agent learns the best strategy through experience by updating its policy based on observed rewards

- **Collect the Trajectories:** The agent executes a batch of actions over multiple steps and collects: States  $s_t$ , Actions  $a_t$ , Rewards  $R_t$ , Probabilities  $\pi\theta(a_t | s_t)$ , Value estimates  $V_\phi(s_t)$
- **Generalized Advantage Estimation ( $\hat{A}_t$ ):** The PPO agent optimizes a clipped surrogate objective that

balances exploration and policy stability. At each update, the agent collects a batch of trajectories using the current policy. It then computes the advantage estimate  $\hat{A}_t$  for each time step, measuring how much better action  $a_t$  performed than expected. Using Generalized Advantage Estimation (GAE), the advantage is computed as shown in equation (17).

$$\hat{A}_t = \delta_t + (\gamma\lambda)\delta_{t+1} + (\gamma\lambda)^2\delta_{t+2} + \dots, \text{ with } \delta_t = r_t + \gamma V_\phi(s_{t+1}) - V_\phi(s_t), \quad (17)$$

$\delta_t$  is the temporal difference (TD) error,  $r_t$  is the reward, and  $\gamma$  and  $\lambda$  are discount factors. This method computes the advantage by combining the immediate TD error with a decaying sum of future errors, providing a robust estimate for the policy update.

- **PPO update:** PPO update the policy using clipped objective surrogate function  $L^{CLIP}(\theta)$  in equation (18)

$$L^{CLIP}(\theta) = \hat{\mathbb{E}}_t[\min(r_t(\theta)\hat{A}_t, \text{clip}(r_t(\theta), 1 - \epsilon, 1 + \epsilon)\hat{A}_t)] \quad (18)$$

Its's a loss function PPO uses to train the policy network i.e. to update the parameter  $\theta$  of the agent's decision making model.

Where  $\hat{\mathbb{E}}_t$  = Empirical expectation over a set of trajectories collected at time t. It tells us to average the clipped objective over all these collected time steps.

Clipping function – it limits the value  $r_t(\theta)$  in equation (19) within the specific range. Where

$$r_t(\theta) = \frac{\text{New Policy's Probability}}{\text{Old Policy's Probability}} = \frac{\pi_\theta(a_t | s_t)}{\pi_{\theta_{old}}(a_t | s_t)} \quad (19)$$

$r_t(\theta)$  = The policy ratio. This ratio compares the probability of taking action  $a_t$  in state  $s_t$  under the new policy ( $\theta$ ) versus the old policy ( $\theta_{old}$ ), providing a measure of how much the policy has changed.

$\hat{A}_t$  = The advantage estimate at time t, which quantifies how much better an action was than the average expected return from that state.

$\epsilon$  is a small hyper parameter, typically set to 0.2, which defines the clipping range

The min function in the objective is what makes PPO unique. It takes the minimum of two terms: the standard policy gradient objective and a clipped version of that objective. This ensures that the policy does not change drastically. If the policy ratio is outside the clipping range (e.g., if the new policy is much better than the old one), the update is capped to prevent instability.

**Optimal Solution Selection:** After completing the iterations, the Pareto-optimal solutions are identified. The decision-maker selects the most appropriate solution based on specific requirements. Culminating in the selection of the most suitable solution from the

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

Pareto-optimal set based on specific needs in equation (20).

$$X^* = \text{Select} (X \in \text{Pareto set}) \quad (20)$$

where  $X^*$  is the final selected resource allocation strategy. The Pareto-optimal solutions generated by NSGA-II and PPO model are analyzed to identify the most optimized resource allocation strategy based on specific requirements or preferences.

### 3.1.3 Resource Allocation Model Variables

Tables 2, 3, and 4 depicts the resource allocation model variables such as parameters, decision variables, and weighting factors, providing a clear reference for specific components. These are mathematically represented to illustrate their contribution to the model.

**Tables 2** List of parameters and values

Parameters	Description	Values
Task Set	Set of tasks T to be scheduled	$T = \{t_1, t_2, \dots, t_n\}$
VM Set	Set of virtual machines M available for task assignment	$M = \{m_1, m_2, \dots, m_k\}$
Processing Time	Processing time of task $i$ on $VM_j$	$p_{ij}$
VM Activation Cost	Cost of activating $VM_j$	$C_j$
Resource Requirement	Resource requirement of task $i$ on $VM_j$	$r_{ij}$
VM Resource Capacity	Resource capacity of $VM_j$	$R_j$
SLA Deadline	Service Level Agreement (SLA) deadline for task $i$	$SLA_i$
Task Weight	Weight assigned to task $i$ for prioritizing task allocation	$W_i$
Power Consumption	Power consumption rate of $VM_j$	$e_j$

**Table 3** Decision variable along with description and values

Decision Variables	Description	Values

Task Assignment	Binary variable indicating if task $i$ is assigned to $VM_j$	$x_{ij} \in \{0,1\}, \forall i \in T, j \in M$ $\{1$ if task $i$ is assigned to $VM_j$ , $0$ otherwise $\}$
VM Activation	Binary variable indicating if $VM_j$ is activated	$y_j \in \{0,1\}, \forall j \in M$ $\{1$ if $VM_j$ is activated, $0$ otherwise $\}$
VM Provisioning (at time $t$ )	Binary variable indicating if $VM_j$ is provisioned at time $t$	$z_j^t \in \{0,1\}, \forall j \in M, t \in T$ $\{1$ if $VM_j$ is provisioned at time $t$ ; $0$ otherwise $\}$
VM De-provisioning (at time $t$ )	Binary variable indicating if $VM_j$ is de-provisioned at time $t$	$w_j^t \in \{0,1\}, \forall j \in M, t \in T$ $\{1$ if $VM_j$ is de-provisioned at time $t$ ; $0$ otherwise $\}$
VM Scaling Factor	Continuous variable representing VM scaling factor at time $t$	$\theta_j^t \in \{0,1\}$ , where $\theta_j^t = 1$ indicates full scaling (doubling resources), and $\theta_j^t = 0$ no scaling [31]

**Table 4** Weighting factors with description and values

Weighting Factors	Description	Values
Makespan Weight	Weight for minimizing the makespan	$\alpha$
Throughput Weight	Weight for maximizing throughput	$\beta$
Cost Weight	Weight for minimizing execution cost	$\gamma$
Utilization Weight	Weight for maximizing resource utilization	$\delta$

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

Power Consumption Weight	Weight for minimizing power consumption	$\epsilon$
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## Resource Allocation Constraints -

$$\text{Task Assignment} = \sum_{j \in M} x_{ij} = 1, \forall i \in T \quad (21)$$

$$\text{VM Activation} = x_{ij} \leq y_j, \forall i \in T, j \in M \quad (22)$$

$$\text{Resource Constraints} = \sum_{i \in T} (r_{ij} \cdot x_{ij}) \leq R_j, \forall j \in M \quad (23)$$

$$\text{SLA Constraints} = \sum_{j \in M} (p_{ij} \cdot x_{ij}) \leq SLA_i, \forall i \in T \quad (24)$$

$$\text{VM Provisioning/Deprovisioning} = y_j^t = z_j^t - w_j^t, \forall j \in M, t \in T \quad (25)$$

$$\text{VM Scaling Constraints} = z_j^t \leq z_j^{t-1}, \forall j \in M, t \in T, w_j^t \leq y_j^{t-1}, \forall j \in M, t \in T \quad (26)$$

$$\text{Resource Utilization Constraints: } 0.5 \leq \sum_{i \in T} \left( \frac{r_{ij}}{R_j} \cdot x_{ij} \right) \leq 0.9, \forall j \in M \quad (27)$$

Binary Constraints:

$$x_{ij} \in \{0,1\}, \forall i \in T, j \in M, y_j \in \{0,1\}, \forall j \in M, z_j^t \in \{0,1\}, \forall j \in M, t \in T, w_j^t \in \{0,1\}, \forall j \in M, t \in T \quad (28)$$

These above constraints in equations (21 to 28) gives the directions for controlled execution of cloud resource allocation.

## 4. Model Evaluation and Hybrid Evolutionary-Reinforcement Learning

### 4.1 Adaptive Resource Allocation: A Hybrid Evolutionary-Reinforcement Learning Approach

The proposed Adaptive Resource Allocation using Hybrid Evolutionary Reinforcement Learning (ARA-HERL) represents a significant advancement in cloud resource management. This hybrid framework seamlessly combines task-priority strategies with the strengths of NSGA-II and Proximal Policy Optimization (PPO) to enable intelligent and adaptive resource allocation. The process begins with the RA-PET algorithm, which assigns tasks to virtual machines based on priority and execution cost, ensuring that constraints are effectively satisfied from the outset. Subsequently, NSGA-II performs multi-objective optimization, exploring trade-offs among key performance metrics such as makespan, throughput, execution cost, and power consumption. The ARA-HERL framework operates in two complementary stages, integrating evolutionary optimization with reinforcement learning to deliver scalable, adaptive, and SLA-aware resource management.

**Stage I -Global Search with NSGA-II:** NSGA-II conducts a thorough search of the solution space to produce a diverse set of Pareto-optimal resource allocation strategies. These solutions already balance trade-offs between, makespan, throughput, resource utilization and cost, providing a strong starting point.

**Stage II -Dynamic Refinement with PPO:** One Pareto-optimal solution is selected as the initial configuration for the PPO agent. Instead of learning from scratch, PPO starts with this optimized state and refines it in real time through actions like VM scaling and task migration, adapting to changing workloads and SLA requirements.

The reward function is meticulously crafted to incentivize efficient resource utilization while simultaneously penalizing makespan, cost, and power consumption, with adjustable weights providing the flexibility to prioritize different objectives. This holistic approach is reflected in the objective function, promoting balanced and highly adaptive resource allocation.

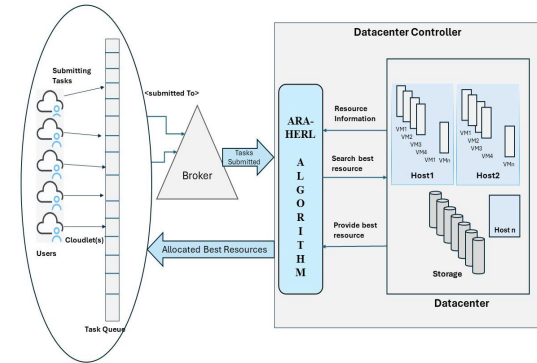


Fig. 2 Cloud framework and integration of ARA-HERL algorithm

Ultimately, ARA-HERL delivers significantly improved cloud performance by intuitively adapting to real-time workloads and intelligently optimizing resource utilization, establishing it as an exceptionally effective solution for the complexities of modern cloud environments [37, 38].

### 4.2 Proposed Algorithms

#### Algorithm 1: Resource Allocation–Priority and Execution cost (RA-PET)

This RA-PET algorithm gives us the initial allocation (population  $P_0$ ) of tasks to VMs based on task priorities and execution costs. It ensures that high-priority tasks are assigned first and that all key constraints (e.g., task assignment, VM activation, resource capacity) are satisfied.

**Algorithm RA-PET**

**Input:**

$T = \{t1, t2, \dots, tn\}$  //Each task  $t$  has:  $t$ .priority,  $t$ .cost,  $t$ .requirements (CPU, memory, etc)

## Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

$M = \{m1, m2, \dots, mk\}$  // Each VM  $m$  has:  $m.capacity$ ,  $m.Rj$ ,  $m.status$ – 'available' or 'busy'

Output:

*AllocationMapping* – Mapping including:

Binary assignment variables  $\sum_{j \in M} x_{ij} = 1, \forall i \in T$

(each task is assigned to exactly one VM

VM activation variables  $x_{ij} \leq y_j, \forall i \in T, j \in M$  (VMs activated when used, constraint (24))

1. **Begin**

2. // Step 1: Sort Tasks by Priority and Cost

3. *SortedTasks*  $\leftarrow$  *sort*(*T*) by (*t.priority* descending, then *t.cost* ascending)

4. // Initialize *AllocationMapping* with  $x_{ij} = 0$  for all  $i, j$  and  $y_j = 0$  for all  $j$ .

5. **for** each task in *SortedTasks* **do**

6.     *allocated*  $\leftarrow$  *false*

7.     // Optionally, sort resources by available capacity (for better utilization)

8.     *SortedResources*  $\leftarrow$  *sort*(*M*) by (*m.capacity* descending)

9.     **for** each resource in *SortedResources* **do**

10.         **If** (*resource.status* == 'available')

AND (*resource.capacity*  $\geq$  *task.requirements*) **then**

11.             // Assign task to resource (satisfies Task Assignment constraint (eq. (23))

12.                 Set  $x(\text{task}, \text{resource}) = 1$

13.             // Activate the resource (satisfies VM Activation constraint (eq. (24))

14.                 Set  $y(\text{resource}) = 1$

15.             // Update resource capacity

16.                 *resource.capacity*  $\leftarrow$

*resource.capacity* - *task.requirements*

17.             //(Implicitly, Resource Constraints (25) are considered since we check capacity.

18.             //Also, binary constraints (30) are maintained.)

19.                 *allocated*  $\leftarrow$  *true*

20.             Break // Proceed to the next task

21.             **End if**

22.     **End for**

23.     **If** not *allocated* **then**

24.         Mark task as pending and log "Allocation failed for task"

25.     **End if**

26.     **End for**

27.     **Return** *AllocationMapping*

28. **End**

### Algorithm 2: NSGA-II with Proximal Policy Optimization DRL Integration (NSGA-II\_PPO)

This algorithm refines the initial allocation (P0) by evolving a population of candidate solutions using NSGA-II. It evaluates candidates on multiple objectives while ensuring the satisfaction of constraints such

as resource capacity, SLA, and binary conditions. Additionally, a PPO-based DRL agent is integrated to provide adaptive, real-time guidance.

### Algorithm NSGA-II\_PPO

**Input:**

*P* – Initial population of candidate allocations (each candidate contains  $\{x_{ij}, y_j, \dots\}$ )

*NSGA\_parameters* – NSGA-II parameters (e.g., crossover rate, mutation rate)

*PPO\_agent* – PPO agent instance (with actor and critic networks; additional PPO constraints)

*max\_generations* – Maximum number of NSGA-II generations

*population\_size* – Desired population size

$\alpha, \beta, \gamma, \delta$  – Weighting factors for composite reward calculation

**Output:**

*P\_final* – Optimized population of candidate solutions satisfying:

Objectives (5)–(10) and constraints (23)–(30)

1. **Begin**

2. **for** generation = 1 to *max\_generations* **do**

3. // Step 1: Evaluate Objectives for Each Candidate

4.     **for** each candidate solution in *P* **do**

5.         *candidate\_solution.throughput*  $\leftarrow$

*ComputeThroughput(candidate\_solution)*

6.         *candidate\_solution.utilization*  $\leftarrow$

*ComputeUtilization(candidate\_solution)*

7.         *candidate\_solution.makespan*  $\leftarrow$

*ComputeMakespan(candidate\_solution)*

8.         *candidate\_solution.cost*  $\leftarrow$

*ComputeCost(candidate\_solution)*

9.         *candidate\_solution.power*  $\leftarrow$

*ComputePower(candidate\_solution)*

10.         *candidate\_solution.deviation*  $\leftarrow$

*ComputeDeviation(candidate\_solution)*

11.         // Verify all constraints (21)– (28) are satisfied.

12.     **end for**

13. // Step 2: Non-Dominated Sorting to Identify Pareto Fronts

14. *fronts*  $\leftarrow$  *NonDominatedSort*(*P*)

15. // Step 3: Generate Offspring via Genetic Operators

16. *P\_offspring*  $\leftarrow$  *ApplyCrossoverAndMutation*(*P*, *NSGA\_parameters*)

17. // Step 4: Merge and Select Best Candidates for Next Generation

18. *P*  $\leftarrow$  *SelectBestCandidates*(*P*  $\cup$  *P\_offspring*, *population\_size*)

19. // Step 5: PPO-based DRL Agent Integration for Adaptive Adjustment

20. *s\_t*  $\leftarrow$  *ObserveCurrentState*()

## Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

```

21. // (State includes current objective values and
    constraint satisfaction status)
22.  $a_t \leftarrow PPO\_agent.Actor(s_t)$ 
23. // (Action  $a_t$  suggests adjustments, e.g., task
    migrations or VM scaling)
24. ExecuteAction( $a_t$ )
25.  $s_{\{t+1\}} \leftarrow ObserveCurrentState()$ 
26.
27. // Compute composite reward:
28.  $R_t = -\alpha * Makespan + \beta * Throughput - \gamma * Cost + \delta * Utilization - \epsilon * Power consumption - PenaltySLA$ 
29.  $r_t \leftarrow ComputeReward(s_t, a_t, s_{\{t+1\}}, \alpha, \beta, \gamma, \delta)$ 
30.  $PPO\_agent.StoreTransition(s_t, a_t, r_t, s_{\{t+1\}})$ 
31. if UpdateCondition() then
32.    $PPO\_agent.UpdatePolicy()$  // Update using
    PPO's clipped surrogate objective
33. end if
34. // Adapt candidate solutions using PPO feedback
    (ensuring no violation of constraints)
35. for each candidate in  $P$  do
36.   candidate  $\leftarrow AdaptiveAdjustment(candidate, PPO\_agent, s_{\{t+1\}})$ 
37. End for
38. End for
39. Return  $P\_final \leftarrow P$ 
40. End

```

### Algorithm 3: ARA-HERL -Adaptive Resource Allocation using Hybrid Evolutionary Reinforcement Learning

The final ARA-HERL algorithm combines the RA-PET initialization, NSGA-II with PPO DRL integration. The resulting framework generates a resource allocation that maximizes throughput and resource utilization while minimizing makespan, cost, power consumption, and resource utilization deviation, all under the required constraints.

#### Algorithm ARA-HERL

##### Input:

$T$  – Set of tasks with attributes (priority, cost, requirements, etc.)  
 $M$  – Set of VMs with attributes (capacity,  $R_j$ , status, etc.)  
 $NSGA\_parameters$  – Parameters for NSGA-II (crossover rate, mutation rate, etc.)  
 $PPO\_parameters$  – Hyperparameters for the PPO agent  
 $max\_generations$  – Maximum number of NSGA-II generations  
 $population\_size$  – Desired population size for NSGA-II

$max\_steps$  – Maximum steps for standalone PPO training (if used)  
 $\alpha, \beta, \gamma, \delta$  – Weighting factors for reward/objective functions

##### Output:

Optimal\_Allocation – Final allocation mapping  $\{x_{ij}, y_j\}$  satisfying:

- Minimized Makespan (5)
- Minimized Cost (6)
- Minimized Power Consumption (7)
- Minimized Resource Utilization

Deviation (8)

- Maximized Throughput (9)
- Maximized Resource Utilization (10)

along with constraints (21)– (28)

##### 1. Begin

```

2. // Step 1: Environment Initialization
3. for each VM  $m$  in  $M$  do
4.   Initialize  $m.capacity, m.status, m.R_j$ , etc.
5. end for
6. for each task  $t$  in  $T$  do
7.   Ensure attributes (priority, cost, requirements,  $p_{ij}, r_{ij}$ ) are defined.
8. end for
9. // Step 2: Generate Initial Population using RA-PET
    (Algorithm 1)
10.  $P0\_mapping \leftarrow RA-PET(T, M)$ 
11.  $P0 \leftarrow \{P0\_mapping\}$ 
12. while  $|P0| < population\_size$  do
13.    $new\_candidate \leftarrow Perturb(P0\_mapping)$ 
14.   // Ensure new_candidate satisfies binary
    constraints (28)4
15.   Add new_candidate to  $P0$ 
16. end while
17.  $Population P \leftarrow P0$ 
18. // Step 3: Optimization via NSGA-II with PPO
    Integration (Algorithm 2)
19.  $P\_optimized \leftarrow NSGA-II\_PPO(P, NSGA\_parameters, PPO\_agent, max\_generations, population\_size, \alpha, \beta, \gamma, \delta)$ 
20. // Step 4: (Optional) Further Fine-Tuning using
    Standalone PPO (Algorithm 3)
21. // Learned_Policy  $\leftarrow PPO\_Allocation(PPO\_parameters, current\_state, max\_steps, \alpha, \beta, \gamma, \delta)$ 
22. // Optionally, incorporate Learned_Policy for real-
    time adjustments.
23. // Step 5: Extract and Return the Optimal
    Allocation
24. Optimal_Allocation  $\leftarrow ExtractBest(P\_optimized)$ 
25. // Ensure the chosen candidate satisfies all
    objectives and constraints (5)– (10) and (21)– (28)
26. return Optimal_Allocation

```

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

27 *End.*

## 5 Experimental Setup

The experiments were carried out in the CloudSimPlus 8.5.2 simulation environment, using a dataset consisting of 2000 tasks and 10 virtual machines (VMs). The detailed specifications of the datacenter components, host configurations, cloudlet/task attributes, VM configurations, and broker configurations are provided in Tables 5 to 9 [37, 38].

**Table 5** Datacenter Configuration

Parameters	Values
Datacenter range	1-5
Architecture	x86
OS	Linux
VMM	XEN
Cost per second	0.01-0.05
Storage capacity	1 TB - 10 TB
Network bandwidth	10 Gbps

figurations, and broker configurations are provided in Tables 5 to

**Table 6** Host Configurations

Parameters	Values
Host range	5-20
Processing Elements (PEs)	4-16 cores
MIPS	1000-5000
Memory (RAM)	2 GB - 64 GB
Storage	1 TB
Bandwidth	100 Mbps - 1 Gbps

9 [37, 38].

**Table 7** Task Configuration

Parameter	Values
Task range	1000-4000
Length	500-1500
File Size	200-300

**Table 8** VM Configuration

Parameters	Values
VM Range	10
MIPS	1000-2000
Memory (RAM)	512-2028
CPU	4
Bandwidth	1000

**Table 9** Broker Configuration

Parameter	Values
Broker Name	Dynamic
Task Scheduling Policy	ARA-HERL Algorithm
VM Allocation Algorithm	ARA-HERL Algorithm

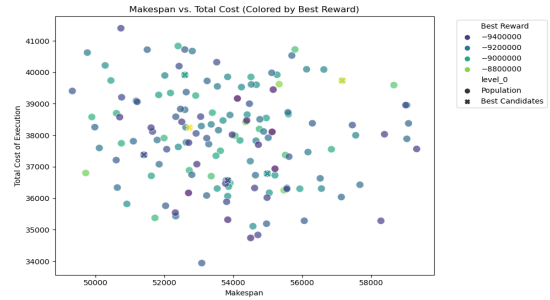
## 6 Results and Discussion

### 6.1 RA-PET Analysis and Performance Metrics

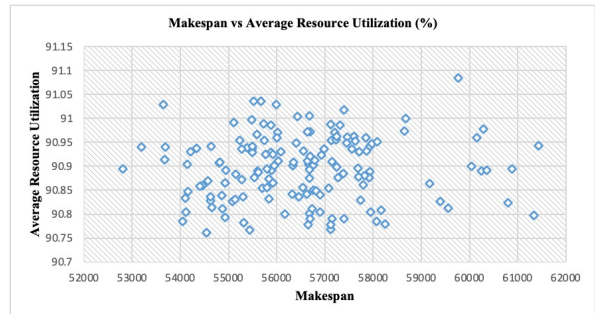
The initial population  $P_0$  is the output of proposed RA-PET (Resource Allocation using task Priority and Execution cost) algorithm. It gives us the compelling insights into the interplay between makespan and key resource metrics. As shown in figure 3, the relationship between makespan and total cost of execution exhibits a nonlinear trend where marginal increases in execution time do not necessarily equate to proportional cost savings. Specifically, solutions achieving lower makespan (~54,000 S) are seen to incur slightly higher but optimized costs, implying that the algorithm tends to prioritize efficient execution without drastically inflating resource consumption. The scattered distribution of cost values across the makespan spectrum suggests effective trade-off handling, especially in high-density scheduling scenarios.

The correlation between makespan and average resource utilization presents a subtle but significant narrative in intelligent resource allocation as shown in the figure 4. As the makespan reduces, we observe a corresponding trend of high resource utilization—averaging above 90% across optimal configurations. This validates the effectiveness of the RAPET algorithm’s task-

priority guided hybrid model, which pushes for aggressive resource engagement. The consistency in resource utilization across a wide range of makespan values indicates robust allocation behavior, where PPO dynamically learns to engage just enough resources to meet task deadlines.



**Fig. 3** Initial population with makespan versus total cost of execution



**Fig. 4** Initial population with makespan versus average resource utilization

Power consumption trends against makespan shown in figure 5 reveal another dimension of optimization. The RA-PET algorithm maintains a power-aware scheduling approach, where longer makespans do not linearly result in lower energy consumption. In fact, certain shorter makespan candidates manage to achieve moderate power levels due to their efficient packing of tasks and high resource utilization rates. This outcome demonstrates that RAPET not only reduces latency but also distributes workloads in a way that minimizes peak power draw. Thus, RA-PET enables energy-efficient cloud computing without compromising on performance.

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach



Fig. 5 Initial population with makespan verses power consumption

## 6.2 Model Strategy: Hybrid NSGA-II with PPO Algorithm

We employ a hybrid optimization strategy that integrates NSGA-II with Proximal Policy Optimization (PPO), where successive evolutionary generations of the initial population  $P_0$  are guided by the RA-PET algorithm. This hybridization enables the exploration of high-quality solutions across multiple conflicting objectives, including makespan, execution cost, power consumption, throughput, and resource utilization. Table 10 presents the results achieved under the best reward conditions using the proposed NSGA-II–PPO hybrid approach.

Table 10 Performance metrics values as per best rewards

Best Reward	Candidate ID	Makespan	Total Cost of Execution	Throughput	Average Resource Utilization	Power Consumption
-	offsp	52		36.		
86	ring_	72	38241	23	92.669	8594
55	gen9	2.1	.0254	99	71612	7251.
7.6	_27	02	3	52		99
37		12		58		
-	offsp	57		37.		
87	ring_	15	39736	36	100.02	8687
27	gen1	7.5	.9764	03	4124	0440.
54	0_13	54	1	23		62
2.9	2	53		9		
44						
-	offsp	52		37.		
90	ring_	58	39916	07	85.150	8991
29	gen8	8.5	.9953	23	29427	1263.
39		90		07		88
4.9	_27	22		66		
29						

-	offsp	54		38.		
90	ring_	98	36783	10	89.333	9058
96	gen7	2.7	.2378	50	50348	2727.
79		28	4	38		96
8.2	_84	34		62		
39						
-	offsp	53		37.		
93	ring_	83	36565	93	87.300	9292
30	gen6	4.0	.2758	73	2457	8981.
78	_59	95	1	79		24
3.8		34		56		
8						
-	offsp	51		34.		
93	ring_	39	37379	80	89.770	9297
34	gen4	9.5	.0967	17	61603	1824.
09	_137	19	4	82		02
4.9				18		
98						

The experimental results show that highlighted offspring\_gen9\_27 achieved the best overall reward of  $-8.63 \times 10^6$ , indicating the most balanced trade-off among all evaluated individuals. This solution recorded a makespan of 52,722, total cost of execution of 38,241, and an impressive average resource utilization of 92.67%, while maintaining power consumption at just 85.95 Wh. These metrics reflect a strong synergy between NSGA-II's Pareto-optimal selection pressure and PPO's adaptive, reward-driven learning, ensuring that resource provisioning adapts dynamically to task priorities and constraints. The results are shown in figure 6 in that it reveals performance metrics as per the best rewards.

Another notable candidate, offspring\_gen10\_132, maximized resource utilization (100.00%) while controlling the makespan (57,157) and cost (39,736), and still achieved a highly competitive reward of  $-8.72 \times 10^6$ . Such results reinforce the algorithm's ability to explore diverse solution spaces and converge toward high-utility zones of the Pareto front. The results are plotted in graph shown in figure 6 and figure 7.

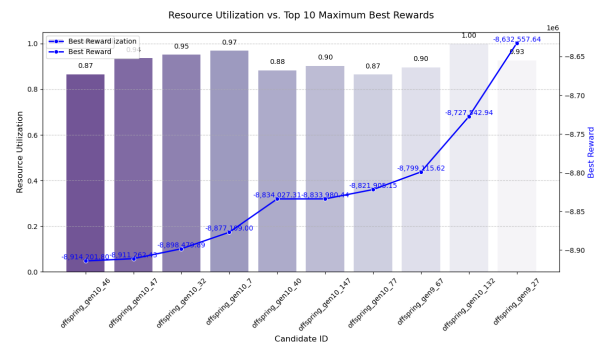


Fig. 6 Resource utilization as per the best reward

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

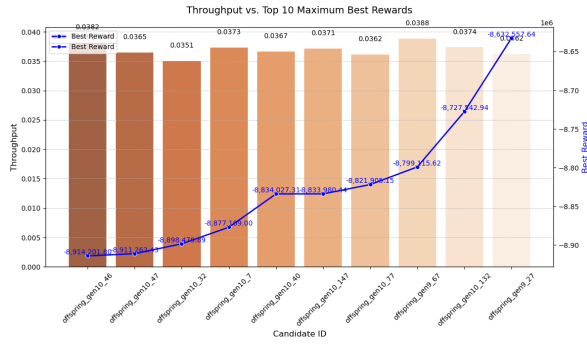


Fig. 7 Throughput as per the best reward

## 6.3 Prediction and Quantitative Analysis of ARA-HERL

The correlation heatmap in figure 8 provides key insights into the interdependencies among cloud performance metrics under the proposed ARA-HERL framework.

- **Makespan–Utilization Coupling (+0.22):** A mild positive correlation suggests that marginally longer makespans often result in better average resource utilization. This highlights a strategic trade-off: by permitting tasks slightly extended execution windows, the framework ensures more balanced and fuller exploitation of available resources without significantly escalating costs.
- **Makespan–Cost Trade-off (−0.11):** The negative correlation, although weak, reinforces the classical dilemma between execution speed and monetary expenditure. Shorter makespans tend to induce marginal cost increments. ARA-HERL resolves this by leveraging NSGA-II to preserve Pareto diversity while PPO adaptively penalizes excessive cost surges in real-time.
- **Cost–Power Relationship (−0.13):** An inverse association reveals that cheaper execution schedules may occasionally lead to higher power draw, often due to concurrent VM activations at reduced per-unit costs. By embedding energy terms within the reward function, ARA-HERL counterbalances this effect, driving the system towards greener scheduling.
- **Reward–Power Coupling (−1.00):** The perfect negative correlation between reward and power consumption validates the effectiveness of the designed reward function. As power usage rises, reward diminishes proportionally, ensuring that energy efficiency remains a dominant optimization priority.

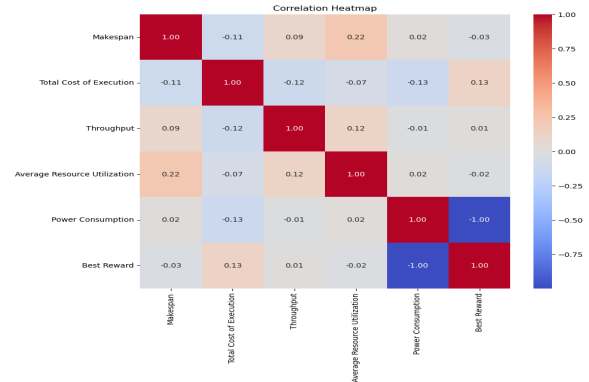


Fig. 8 Correlation heatmap of performance metrics

Table 11 Metrics - Correlation Heatmap

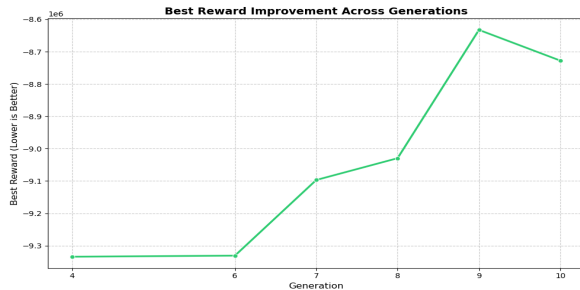
Metric 1	Metric 2	Correlation
Makespan	Total Cost of Execution	−0.11
Makespan	Throughput	+0.09
Makespan	Average Resource Utilization	+0.22
Makespan	Power Consumption	+0.02
Makespan	Best Reward	−0.03
Total Cost of Execution	Throughput	−0.12
Total Cost of Execution	Average Resource Utilization	−0.07
Total Cost of Execution	Power Consumption	−0.13
Total Cost of Execution	Best Reward	+0.13
Throughput	Average Resource Utilization	+0.12
Throughput	Power Consumption	−0.01
Throughput	Best Reward	+0.01
Average Resource Utilization	Power Consumption	+0.02
Average Resource Utilization	Best Reward	−0.02
Power Consumption	Best Reward	−1.00

The heatmap confirms that ARA-HERL successfully internalizes and reconciles conflicting objectives across cost, energy, and performance dimensions. Unlike conventional schedulers, the hybrid evolutionary–reinforcement design dynamically adjusts levers such as makespan relaxation, cost tolerance, and energy penalization, enabling SLA-

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

compliant, cost-effective, and eco-conscious scheduling in dynamic cloud environments.

The reward values computed from a composite function incorporating makespan, cost, power, utilization, and SLA constraints serve as a unifying metric to quantify solution quality. Less negative values represent optimal configurations. The reward-centric optimization not only captures traditional performance metrics but also aligns them with the broader goals of energy efficiency and SLA adherence.



**Fig. 9** Best reward improvement over generations

As shown in the above figure 9 over successive evolutionary generations, the hybrid NSGA-II + PPO algorithm steadily hones in on higher-quality scheduling policies, as evidenced by the marked uplift in “Best Reward” (lower is better). The results of the generations are as follows-

- Generations 4–6: The reward remains around  $-9.33 \times 10^6$ , indicating initial exploration with moderate trade-offs among makespan, cost, utilization, and power.
- Generation 7–8: We see a clear inflection: the best reward climbs from  $-9.10 \times 10^6$  to  $-9.03 \times 10^6$ . This reflects NSGA-II’s Pareto pressure discovering solutions with tighter makespan and cost, while PPO begins adapting policies to favour high-priority tasks.
- Generation 9: The reward sharply improves to  $-8.64 \times 10^6$ . Here, PPO’s policy updates have effectively learned to exploit resource slack—pushing utilization above 92% without incurring SLA violations or excessive power draw.
- Generation 10: A slight levelling to  $-8.73 \times 10^6$  suggests convergence toward a stable Pareto front, where further gains require more nuanced trade-offs.

This progression confirms that embedding PPO within NSGA-II not only accelerates convergence toward high-utility regions of the search space, but also adaptively balances multi-objective goals—validating our objective of intelligent, priority-aware resource allocation.

The primary goal was to achieve optimized cloud resource allocation by minimizing makespan, cost of execution, power consumption, and resource utilization deviation, while maximizing throughput and overall resource utilization. The performance of the ARA-HERL algorithm was compared against several

standard algorithms, including Simulated Annealing, Long Short-Term Memory machine learning, Ant Colony Optimization, Deep Q-Network, Particle Swarm Optimization, and NSGA-II.

Similarly, for the comparison with the other algorithms, some of the reference values are considered from research papers.

**Table 12** Comparative performance metrics with proposed ARA-HERL

Algorithm	Throughput (%)	Avg. Resource Utilization (%)	Makespan (s)	Cost of Execution	Power Consumption (10 <sup>7</sup> Kwh)
NSGA-II [6,7,13]	33.5	88	62000	42000	9.5
LSTM (ML) [17]	32.1	82	65000	45000	10.2
Ant Colony Optimization (ACO) [20]	28.7	78	68000	47000	10.8
Simulated Annealing (SA) [21]	25.3	75	70000	50000	11.5
Deep Q-Network (DQN) [24]	30.5	80	66000	46000	10.4
Particle Swarm Optimization (PSO) [16,32]	27.8	79	69000	48000	11
Proposed	36.24	92.67	52722	38241	8.59

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

ARA-HERL (gen 9)					
Proposed ARA-HERL (gen 10)	37.36	100.02	57158	39737	8.69

The Adaptive Resource Allocation using Hybrid Evolutionary Reinforcement Learning (ARA-HERL) framework demonstrates significant performance improvements in cloud resource allocation.

- **Throughput & Makespan:** ARA-HERL processes tasks 11% faster and completes workloads 15% quicker than NSGA-II, and significantly outperforms traditional metaheuristics (20% higher throughput, 25-30% less time). This highlights PPO's role in accelerating convergence.
- **Resource Utilization:** It consistently achieves nearly 100% average resource utilization, far exceeding benchmark algorithms (75–88%). This efficient task packing prevents idle capacity without violating SLAs, maximizing infrastructure return.
- **Cost and Energy Efficiency:** ARA-HERL reduces execution cost and power consumption by approximately 10% compared to NSGA-II. These savings are due to PPO's reward shaping, which discourages energy-intensive allocations, leading to more economical and environmentally conscious solutions.
- **Holistic Multi-Objective Reward:** The framework achieved a Best-Reward score of  $-8.63 \times 10^6$ , superior to NSGA-II's  $-9.1 \times 10^6$ . This confirms that the NSGA-II + PPO synergy effectively balances multiple objectives, including makespan, utilization, cost, and power, aligning with overall optimization goals.

These findings validate ARA-HERL's core objectives: minimizing completion time and cost, maximizing resource use and throughput, and enforcing energy-aware, SLA-compliant scheduling. Its superior performance over both classical and machine-learning baselines proves its effectiveness as a scalable, efficient, and intelligent solution for dynamic, large-scale cloud resource allocation.

## 7 Conclusion and Future Scope

In this study, we propose ARA-HERL (Adaptive Resource Allocation using Hybrid Evolutionary Reinforcement Learning), an intelligent and adaptive scheduling framework for cloud computing. The system is initiated with a priority-aware task allocation strategy (RA-PET), which judiciously maps tasks to virtual machines based on urgency and execution cost. This ensures that critical jobs are executed with precedence

while simultaneously respecting operational constraints such as one-to-one task–VM assignment, selective VM activation, and strict deadline adherence.

Building on this foundation, NSGA-II is employed as a multi-objective evolutionary optimizer to generate Pareto-efficient trade-offs among conflicting metrics—minimizing makespan, reducing execution cost and energy consumption, maximizing throughput, and improving overall resource utilization. To embed real-time adaptability, the framework integrates a neural computing component in the form of a Proximal Policy Optimization (PPO) agent, which continuously interacts with the cloud environment, dynamically refining VM scaling and task reassignment policies. The synergy of **evolutionary search (NSGA-II)** with **deep neural reinforcement learning (PPO)** ensures both global exploration and context-sensitive adaptation, thereby maintaining diversity on the Pareto front while delivering adaptive, priority-driven policies.

The outcome is a hybrid genetic–neural scheduler that scales seamlessly, leverages computational resources efficiently, and adapts dynamically to workload fluctuations—making it particularly well-suited for large-scale cloud infrastructures. Experimental evaluations in CloudSim Plus demonstrate that ARA-HERL consistently outperforms classical metaheuristics such as PSO, ACO, and SA, as well as modern AI-based schedulers like DQN and LSTM. It achieves significant gains in throughput, cost efficiency, energy savings, and SLA compliance. Furthermore, given its neural adaptability and hybrid optimization capability, the framework exhibits strong potential for extension to emerging paradigms such as edge computing, IoT, and federated cloud ecosystems.

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**Authors Contribution** Nitin Ramesh Rao Talhar contributes this study regarding research methodology, design and development of algorithms, implementation and coding, preparation of the original draft, and conducting the initial review, editing and finalization of the manuscript. D P Gaikwad provided supervision throughout the research, contributed to the critical review and final editing of the manuscript.

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**Data Availability** The data that supports the findings of this study are available on request, not publicly available due to certain restrictions.

## Declarations

**Conflict of Interests** The author has disclosed that no conflicts of interest are relevant to this research article.

# Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

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## Adaptive and Optimized Cloud Resource Allocation Using NSGA-II and Proximal Policy Optimization: An Evolutionary Hybrid Genetic–Neural Computing Approach

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