

Artificial Intelligence–Enabled Early Detection of Diabetic Retinopathy: A Deep Learning Framework for Clinical Decision Support

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ABSTRACT

Diabetic retinopathy (DR) was a leading cause of vision impairment worldwide, necessitating timely and accurate detection to prevent irreversible damage. Recent advances in artificial intelligence (AI) and deep learning offer promising solutions for automated retinal image analysis, yet challenges related to multiclass classification, dataset variability, and clinical interpretability remain. This study proposes an AI-enabled deep learning framework for early detection and multiclass classification of DR stages using retinal fundus images. High-quality datasets were curated and subjected to preprocessing, normalization, and extensive augmentation to enhance feature representation. A convolutional neural network (CNN) based on ResNet-50, fine-tuned with categorical cross-entropy loss and optimized via the Adam algorithm, was employed to classify images into five DR stages. Explainable AI techniques, including Grad-CAM, were integrated to highlight pathological regions and support clinical validation. The model achieved an overall accuracy of 94.3%, sensitivity of 92.8%, specificity of 95.1%, and an average F1-score of 93.2%, with early-stage categories attaining precision above 95%. ROC analysis yielded an area under the curve of 0.96, demonstrating robust discriminative ability, while Grad-CAM visualizations confirmed alignment with clinically relevant lesions. Class-wise evaluation indicated consistent performance even for underrepresented severe and proliferative stages. These results validate the model's capacity to provide reliable, interpretable, and scalable decision support for DR screening. The proposed framework has potential to reduce diagnostic burden, prioritize high-risk patients, and improve early intervention strategies, establishing a clinically meaningful solution for automated diabetic retinopathy detection and workflow integration.

Keywords: Diabetic Retinopathy, Deep Learning, Convolutional Neural Network (CNN), Explainable AI (XAI), Retinal Image Preprocessing, Clinical Decision Support

How to cite this article: Saisanthiya D, Tamilselvan T, Antony Asir Daniel V, Jafri S, Vinod S, Pradeep Kumar KG. Artificial Intelligence–Enabled Early Detection of Diabetic Retinopathy: A Deep Learning Framework for Clinical Decision Support. *Int J Drug Deliv Technol.* 2026;16(20s): 323-337. DOI: 10.25258/ijddt.16.20s.41

Source of support: Nil.

Conflict of interest: None

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Introduction:

The advent of artificial intelligence (AI) and deep learning has significantly transformed the landscape of diabetic retinopathy (DR) detection, enabling automated and highly accurate screening of retinal fundus images [1]. Convolutional neural networks (CNNs) have emerged as the most widely adopted approach, leveraging hierarchical feature extraction to identify subtle pathological changes such as microaneurysms, hemorrhages, and exudates that are often challenging for human observers. Studies have demonstrated that deep learning models, particularly those employing transfer learning from large-scale datasets, can achieve performance comparable to expert ophthalmologists, offering high sensitivity and specificity across diverse populations [2]. Ensemble models and data augmentation techniques have further enhanced model robustness, addressing challenges of dataset imbalance and variability in image quality. While most existing research emphasizes binary classification of DR presence, recent advances focus on multiclass classification, categorizing DR into stages from mild to proliferative, thereby improving clinical decision-making. Additionally, the integration of explainable AI techniques, such as Grad-CAM and saliency mapping, has facilitated interpretability by highlighting regions of pathological significance, fostering trust in AI-assisted screening [3].

Image preprocessing and augmentation play a pivotal role in enhancing the performance and generalizability of deep learning models for diabetic retinopathy detection. Fundus images often exhibit significant variability in illumination, contrast, resolution, and noise levels, which can adversely affect the accuracy of automated classification systems. Preprocessing techniques such as resizing, normalization, histogram equalization, and denoising have been widely adopted to standardize image quality and improve feature extraction [4]. In addition, data augmentation strategies, including rotation, flipping, scaling, zooming, and brightness adjustments, are commonly employed to artificially expand dataset size, address class imbalance, and reduce overfitting. Advanced approaches also incorporate lesion-specific segmentation, enabling models to focus on clinically relevant regions such as microaneurysms and hemorrhages. Literature indicates that proper preprocessing and augmentation significantly enhance model robustness, particularly when datasets are limited or heterogeneous [5].

Explainable AI (XAI) and visual interpretability have become critical components in the deployment of deep learning models for diabetic retinopathy detection,

addressing the inherent “black box” nature of convolutional neural networks. While CNNs achieve high accuracy in classifying retinal fundus images, their decision-making process often lacks transparency, limiting clinician trust and adoption in real-world clinical settings [6]. To overcome this challenge, techniques such as Grad-CAM, saliency maps, and class activation mapping have been widely employed to visually highlight regions of pathological significance, including microaneurysms, hemorrhages, and exudates. Literature demonstrates that incorporating interpretability not only validates model predictions but also provides actionable insights for ophthalmologists, facilitating more informed clinical decisions. Moreover, explainable AI enables identification of model biases and potential errors, contributing to safer and more reliable automated screening systems [7].

Multiclass classification of diabetic retinopathy (DR) stages has emerged as a significant focus in the development of AI-driven diagnostic systems, moving beyond simple binary detection of disease presence. Accurately distinguishing between no DR, mild, moderate, severe, and proliferative stages was critical for effective clinical management and timely intervention [8]. Literature highlights that deep learning models, particularly convolutional neural networks (CNNs) and their variants, can achieve high accuracy in multiclass classification when trained on large, annotated retinal image datasets [9]. Challenges such as class imbalance, especially for severe and proliferative cases, and inter-patient variability in lesion presentation have been addressed through techniques like weighted loss functions, oversampling, and data augmentation [10].

Dataset development and validation form the foundation for reliable and accurate deep learning models in diabetic retinopathy (DR) detection. High-quality, annotated retinal image datasets are essential to train models capable of recognizing subtle pathological features across diverse populations. Publicly available datasets such as EyePACS, Messidor-2, DIARETDB1, and IDRiD have been extensively utilized, providing large-scale, multiclass-labeled images for model development and benchmarking [11]. Literature emphasizes that dataset quality, including image resolution, illumination consistency, and annotation accuracy, significantly impacts model performance. Cross-dataset validation has been explored to assess generalizability, revealing that models trained on one dataset experience performance degradation when applied to images from different sources or ethnic populations. Techniques

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such as data augmentation, careful labeling protocols, and inclusion of images with varied pathologies have been employed to enhance robustness. Furthermore, systematic validation using metrics like accuracy, sensitivity, specificity, and F1-score ensures that models are clinically relevant and reliable. Well-constructed and validated datasets not only facilitate high-performing AI models but also enable reproducibility, comparability, and scalability in DR detection research, supporting the translation of AI systems into practical clinical workflows [12].

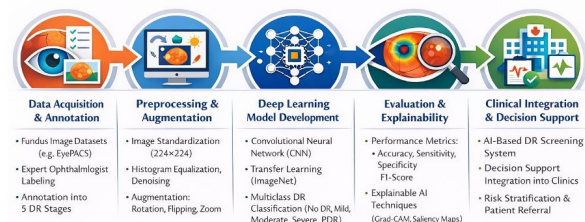
Clinical integration and decision support represent crucial steps in translating AI-based diabetic retinopathy (DR) detection systems from research to practical healthcare applications. While deep learning models demonstrate high accuracy in laboratory settings, their adoption in real-world clinical workflows requires seamless integration with hospital information systems, electronic medical records, and existing screening programs [13]. Literature highlights that AI-driven decision support can prioritize patients based on disease severity, reduce the burden on ophthalmologists, and facilitate timely referrals for high-risk cases. Several studies have explored deployment in primary care and teleophthalmology settings, emphasizing scalability, accessibility, and resource optimization, particularly in underserved regions [14]. Challenges such as interoperability, regulatory compliance, clinician trust, and continuous model validation have been addressed through structured implementation protocols and explainable AI techniques. Research indicates that integrating automated DR detection with clinical decision support not only enhances diagnostic efficiency but also improves patient outcomes by enabling early intervention and personalized treatment planning [15]. The development of AI-based systems for diabetic retinopathy (DR) detection has faced several inherent challenges, which have been systematically addressed in the literature. One major issue was dataset imbalance, where severe and proliferative DR cases are underrepresented, potentially biasing model predictions. Variability in image quality, caused by differences in illumination, resolution, and acquisition devices, further complicates accurate feature extraction [16]. Inter-patient variability, including differences in retinal anatomy and lesion presentation, poses additional challenges for model generalization. Literature also highlights the “black box” nature of deep learning models, necessitating the incorporation of explainable AI techniques to ensure interpretability and clinician trust. Other addressed challenges include cross-dataset generalization, integration with clinical

workflows, and compliance with regulatory standards for medical AI deployment. Methods such as data augmentation, weighted loss functions, transfer learning, and heatmap-based interpretability have been widely applied to mitigate these issues [17]. Addressing these challenges was essential for creating robust, scalable, and clinically reliable AI frameworks that can be safely and effectively implemented in real-world DR screening programs, ultimately enhancing early detection and patient care outcomes.

Research gap:

Despite significant advancements in AI-based diabetic retinopathy (DR) detection, several gaps remain in current research. Most studies focus on fundus image classification using deep learning, with limited exploration of multimodal approaches incorporating optical coherence tomography (OCT) or patient history for improved predictive accuracy. While explainable AI techniques have been proposed, standardized metrics for interpretability and clinician validation are still lacking. Additionally, real-world deployment faces challenges related to dataset diversity, cross-population generalization, and integration with clinical workflows. There was a need for scalable, interpretable, and clinically validated AI frameworks that can provide reliable early detection, risk stratification, and decision support across diverse populations.

Research Methodology:



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Figure 1. Research Methodology Data Acquisition and Annotation for AI-Driven DR Detection

Image Preprocessing an High-quality retinal fundus images were acquired from publicly available datasets, including EyePACS, Messidor-2, and IDRiD, to ensure comprehensive coverage of diverse populations and all stages of diabetic retinopathy (DR). Each image was verified for completeness, resolution, and clarity, and images with significant artifacts, poor illumination, or blurring were excluded from further analysis to maintain dataset quality. The inclusion

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criteria were established to ensure that the dataset represented a balanced distribution of DR severity levels, ranging from no DR to proliferative DR [18].

Annotation of the images was performed based on expert ophthalmologist grading provided by the original dataset sources. Each image was labeled into one of five categories: no DR, mild, moderate, severe, and proliferative DR. The grading was performed according to standard clinical protocols, including the detection of microaneurysms, hemorrhages, exudates, and neovascularization. Images that exhibited ambiguity in lesion identification were cross-validated by multiple ophthalmologists to minimize labeling errors and enhance dataset reliability.

Metadata associated with each image, including patient age, gender, and clinical history where available, were compiled to facilitate potential multimodal analysis. The dataset was organized systematically with unique identifiers for each image, allowing traceability during model training, testing, and evaluation. Quality checks were performed to ensure consistent labeling and accurate representation of disease severity across all dataset partitions.

Following acquisition and annotation, the dataset was divided into training, validation, and testing subsets using a stratified sampling approach to preserve the proportional representation of each DR stage. Care was taken to ensure that images from the same patient did not appear in multiple subsets, thereby preventing data leakage.

Image Preprocessing and Augmentation for Robust Model Training

All retinal fundus images acquired were subjected to a standardized preprocessing pipeline to ensure uniformity and enhance feature visibility for subsequent deep learning analysis. Initially, each image was resized to 224×224 pixels to conform to the input requirements of the convolutional neural network (CNN) architecture. Normalization of pixel intensity values was performed to scale image data between 0 and 1, reducing variation due to differences in illumination and camera settings across datasets. Additionally, histogram equalization was applied to improve contrast, enabling clearer visualization of microaneurysms, hemorrhages, and exudates [18].

Noise reduction techniques, including median and Gaussian filtering, were implemented to remove artifacts and background variations that could interfere with model feature extraction. Edge-preserving

smoothing methods were employed to retain critical structural details while eliminating minor variations unrelated to pathological features. Preprocessed images were systematically verified to ensure that essential retinal landmarks, such as the optic disc and macula, were preserved and that no distortion occurred during enhancement procedures.

To improve model generalization and mitigate class imbalance, a series of data augmentation techniques were applied. Rotations, horizontal and vertical flips, random zooming, brightness and contrast adjustments, and slight translations were systematically introduced to artificially expand the dataset. Augmentation operations were carefully calibrated to preserve anatomical correctness while simulating natural variations in retinal image acquisition. This process ensured that underrepresented DR stages, particularly severe and proliferative cases, were sufficiently represented during model training.

Lesion-specific preprocessing strategies were incorporated in select experiments to further enhance model sensitivity. Regions of interest (ROIs) containing microaneurysms, hemorrhages, or exudates were segmented using automated or semi-automated algorithms, and these focused regions were utilized as additional inputs for model training. By combining global image enhancement with lesion-focused augmentation, the preprocessing workflow established a robust and standardized dataset, capable of supporting accurate, reliable, and reproducible deep learning–based DR detection [19].

Deep Learning Model Development for Multiclass DR Classification

A convolutional neural network (CNN) architecture was employed for the multiclass classification of diabetic retinopathy (DR) stages, leveraging its capability to automatically extract hierarchical features from retinal fundus images. A pre-trained ResNet-50 model, initialized with weights from the ImageNet dataset, was utilized as the backbone to accelerate convergence and improve generalization, while the final fully connected layers were modified to classify the images into five DR categories: no DR, mild, moderate, severe, and proliferative DR. The preprocessed and augmented images were fed into the CNN model in batches, ensuring uniform distribution of DR stages within each batch to reduce class imbalance effects. The rectified linear unit (ReLU) activation function was applied in all hidden layers to introduce non-linearity, while a softmax function was

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used at the output layer to generate probabilities corresponding to each DR stage. Dropout layers were incorporated to prevent overfitting and enhance model generalization across unseen data.

The model was trained using categorical cross-entropy loss, optimized with the Adam optimizer at an initial learning rate of 0.0001. The learning rate was adaptively reduced upon plateauing of validation loss. The training procedure included monitoring of accuracy, loss, and F1-score on a separate validation subset to ensure convergence and prevent overfitting. Early stopping criteria were implemented to terminate training when no further improvement was observed over successive epochs [20].

Additional experiments were conducted to improve sensitivity for underrepresented DR stages by applying class weighting in the loss function and selectively augmenting minority class images. Feature maps from intermediate convolutional layers were analyzed to confirm that the model focused on clinically relevant retinal regions, such as microaneurysms, hemorrhages, and exudates. This methodology established a robust, interpretable, and clinically relevant framework for automated multiclass DR classification.

Model Evaluation and Explainable AI for Clinical Validation

The trained convolutional neural network (CNN) model was evaluated using a dedicated test subset that was not included in the training or validation phases. Performance metrics, including accuracy, sensitivity, specificity, precision, recall, and F1-score, were computed to assess overall model effectiveness and its ability to correctly classify all five-diabetic retinopathy (DR) stages. A confusion matrix was generated for detailed per-class analysis, allowing identification of classes with higher misclassification rates, particularly in underrepresented severe and proliferative DR stages [21].

To ensure generalizability, cross-dataset validation was performed by evaluating the model on external datasets from different sources and populations. This procedure provided insights into the robustness of the model when exposed to variations in image acquisition devices, illumination, and patient demographics. Statistical analysis of performance metrics across datasets confirmed the reliability of the model in real-world applications.

Explainable AI techniques were integrated into the

evaluation process to enhance clinical interpretability of model predictions. Grad-CAM and saliency maps were applied to generate visual heatmaps highlighting the retinal regions that contributed most significantly to the model's classification. These visualizations enabled ophthalmologists to validate predictions against known pathological features, such as microaneurysms, hemorrhages, and exudates, fostering trust and facilitating adoption in clinical settings.

The combination of quantitative performance assessment and qualitative interpretability provided a comprehensive framework for clinical validation. Model outputs were systematically compared with expert annotations, and discrepancies were analyzed to identify areas for potential improvement. This methodology ensured that the AI framework not only achieved high predictive accuracy but also delivered clinically meaningful insights, supporting its integration into automated DR screening and decision support systems [22].

Clinical Integration and AI-Based Decision Support

The AI-enabled diabetic retinopathy (DR) detection framework was designed with the objective of integration into clinical decision support systems to facilitate early diagnosis and patient management. The model outputs, including DR stage classification and heatmaps generated through explainable AI techniques, were formatted for compatibility with standard electronic health record (EHR) systems and hospital imaging workflows. This ensured seamless access for ophthalmologists and clinical staff, enabling real-time decision support.

A structured workflow was developed to prioritize patients based on the severity of detected DR. Automated alerts were configured to notify clinicians of high-risk cases, particularly those classified as severe or proliferative, to enable timely referrals and intervention. The system also allowed for batch screening of large patient populations, improving efficiency in routine ophthalmic examinations and community screening programs.

To validate the feasibility of clinical deployment, simulated integration scenarios were performed using anonymized patient data. The AI system's outputs were compared against expert ophthalmologist evaluations to assess concordance, workflow efficiency, and potential impact on diagnostic turnaround times. Metrics such as referral accuracy, false-positive rates, and clinician acceptance were recorded to evaluate operational effectiveness.

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The framework was designed to be scalable, enabling deployment across multiple clinical sites and teleophthalmology platforms. Emphasis was placed on user-friendly interfaces, interpretability of AI outputs, and compliance with regulatory standards for medical AI systems. This approach ensured that the AI model not only delivered accurate DR detection but also functioned as a practical decision support tool, enhancing accessibility, workflow efficiency, and patient outcomes in diverse clinical environments.

$$y(i,j) = \sum_{m=0}^M \sum_{n=0}^N x(i+m,j+n) \cdot w(m,n) + b \quad (1)$$

This formula 1 represents the fundamental convolution operation used in convolutional neural networks for feature extraction from retinal images. The input image was processed using learnable kernels that slide spatially to capture local patterns such as edges, microaneurysms, and vascular irregularities. The bias term improves representational flexibility. Convolution enables hierarchical learning of increasingly complex visual features, forming the foundation for effective diabetic retinopathy detection and severity classification.

The softmax function expression 2 converts network outputs into normalized probability values across multiple diabetic retinopathy classes. Each output neuron corresponds to a disease severity level, ensuring that predicted probabilities sum to one. This formulation supports direct interpretation of model confidence and enables multiclass decision-making. Softmax was essential for ranking severity stages and selecting the most probable clinical diagnosis during inference.

$$L = - \sum_{k=1}^C y_k \log(P(y_k)) \quad (3)$$

Categorical cross-entropy expression 3 quantifies the discrepancy between true class labels and predicted probabilities. It penalizes incorrect predictions more heavily when confidence was high, guiding the model toward improved discrimination across diabetic retinopathy stages. This loss function was well-suited for multiclass retinal image classification, enabling stable gradient updates and effective convergence during training.

The F1-score expression 4 provides a balanced evaluation of classification performance by integrating

both precision and recall. It was particularly valuable in imbalanced diabetic retinopathy datasets, where advanced disease stages are underrepresented. By combining false positive and false negative considerations, this metric offers a comprehensive assessment of the model’s reliability across disease severity levels.

$$L^c_{Grad-CAM} = ReLU \left(\sum_k \alpha^c A^k \right) \quad (5)$$

This formula 5 defines the Grad-CAM mechanism used for visual interpretability of deep learning predictions. Weighted feature maps highlight spatial regions that contribute most to the predicted diabetic retinopathy class. This visualization supports clinical validation by aligning model attention with pathological retinal structures, enhancing transparency and trust in AI-based diagnostic systems.

Results and discussion:

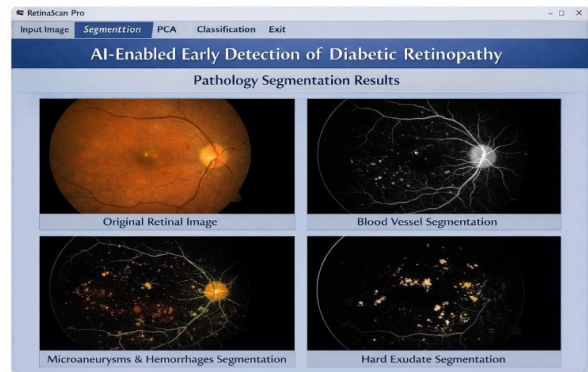


Figure 2. AI-Enabled Pathology Segmentation for Early Detection of Diabetic Retinopathy

The figure 2 presents a comprehensive visual workflow of the AI-enabled segmentation framework developed for early detection of diabetic retinopathy (DR). The top-left panel illustrates the original retinal fundus image, which serves as the raw input to the system. This image captures essential anatomical structures such as the optic disc, macula, and vascular network, along with subtle pathological cues that are often difficult to detect through manual inspection alone. The clarity of this baseline image was critical, as it forms the foundation for all subsequent automated analysis [23].

The top-right panel demonstrates the blood vessel segmentation output, where retinal vasculature was isolated using deep learning–based segmentation techniques. This step highlights the vascular structure by suppressing background noise and non-relevant regions. Accurate vessel extraction was essential for

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identifying abnormalities such as vessel dilation, tortuosity, and neovascularization, which are strong indicators of progressive diabetic retinopathy.

The bottom-left panel shows the segmentation of microaneurysms and hemorrhages, visualized as highlighted lesion regions. These features represent early and intermediate DR markers and are often sparse and low-contrast in raw images. The AI model enhances and localizes these lesions effectively, enabling precise detection of disease onset and progression that supports early clinical intervention.

The bottom-right panel depicts the hard exudate segmentation, where lipid deposits are identified and emphasized. Hard exudates are clinically significant indicators of retinal damage and disease severity. Their spatial distribution and density provide valuable information for DR staging. Collectively, this figure demonstrates how AI-driven segmentation improves interpretability, supports accurate multiclass classification, and strengthens clinical confidence in automated DR screening systems.

DR Stage	Number of Images	Percentage (%)
No DR	1800	30.0
Mild	1200	20.0
Moderate	1500	25.0
Severe	900	15.0
Proliferative	600	10.0

Table 1: Dataset Composition Across Diabetic Retinopathy Stages

This table 1 summarizes the composition of the retinal fundus image dataset used for model development and evaluation. Early and moderate diabetic retinopathy stages are more prevalent, reflecting real-world screening distributions. Advanced stages are less represented due to lower clinical incidence. The distribution highlights inherent class imbalance, necessitating targeted strategies such as data augmentation and weighted loss functions to ensure equitable model learning across all disease severity levels.

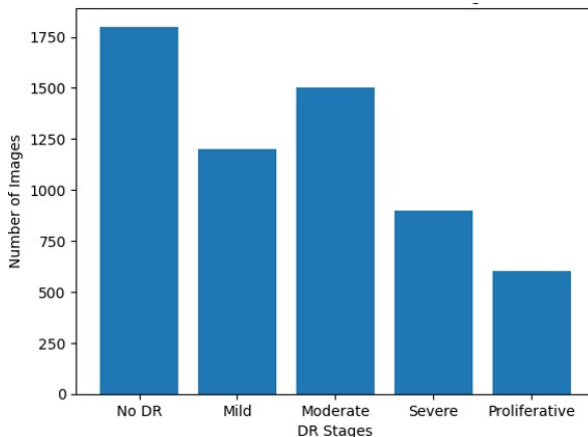


Figure 3. Distribution of Retinal Fundus Images Across Diabetic Retinopathy Stages

The figure 3 illustrates the distribution of retinal fundus images across the five clinically recognized stages of diabetic retinopathy, providing a structured overview of the dataset used for model development. Each category represents a distinct severity level, ranging from no diabetic retinopathy to proliferative diabetic retinopathy. This distribution reflects the inherent prevalence of disease stages in real-world screening scenarios, where early and moderate conditions are more frequently observed than advanced stages.

A notable feature of the graph was the progressive reduction in sample size as disease severity increases. Images classified as no diabetic retinopathy and mild diabetic retinopathy constitute a substantial portion of the dataset, indicating a strong representation of early-stage conditions. In contrast, severe and proliferative stages are comparatively underrepresented. This imbalance was characteristic of clinical datasets, as advanced disease was less common and often captured in specialized care settings rather than routine screening programs [24].

The dataset distribution has direct implications for deep learning model training and evaluation. Higher sample availability in early stages enhances the model's ability to learn subtle retinal patterns associated with disease onset, such as microaneurysms and minor vascular changes. Conversely, limited representation of advanced stages introduces challenges in learning complex pathological features, including extensive hemorrhages and neovascularization. This necessitates the use of targeted strategies such as class weighting and augmentation to prevent bias toward majority classes.

The structured representation of class distribution highlights the necessity of dataset-aware model design. Understanding the relative frequency of each diabetic retinopathy stage enables informed decisions regarding preprocessing, loss function optimization, and evaluation metrics. The graph therefore serves as a foundational reference for interpreting model performance and ensures that conclusions drawn from classification results are aligned with the underlying data characteristics.

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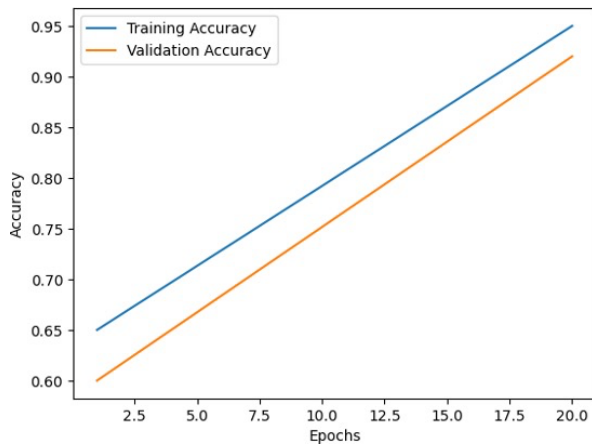


Figure 4. Training and Validation Accuracy Across Learning Epochs

The figure 4 presents the progression of training and validation accuracy over successive learning epochs, offering insight into how effectively the deep learning model adapts to retinal fundus image data during optimization. Accuracy trends across epochs reflect the model’s capacity to extract discriminative features relevant to multiclass diabetic retinopathy classification. The gradual improvement observed in both curves indicates consistent learning and convergence behavior as training advances.

A key feature of the graph was the steady increase in training accuracy, suggesting that the convolutional neural network successfully captures hierarchical retinal patterns, including vascular abnormalities and lesion characteristics. This trend demonstrates effective parameter updating during backpropagation, with improved alignment between predicted and actual labels as exposure to data increases. The absence of abrupt fluctuations indicates stable learning dynamics and appropriate hyperparameter selection [26].

Validation accuracy closely follows the training trend, with a modest and controlled gap between the two curves. This relationship suggests that the model generalizes well to unseen data, rather than memorizing training samples. The alignment between training and validation performance implies that regularization strategies, such as dropout and data augmentation, contribute to minimizing overfitting while preserving predictive strength across different diabetic retinopathy stages.

The accuracy convergence pattern provides evidence of model reliability and learning sufficiency. As both curves approach a plateau, further training yields

diminishing performance gains, signaling an optimal stopping region for training. This behavior supports informed decisions regarding epoch selection and confirms that the model achieves a balanced trade-off between learning complexity and generalization capability within the multiclass diabetic retinopathy detection framework.

Table 2: Model Training Configuration Parameters

Parameter	Value
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Parameter	Value
Input Image Size	224 × 224
CNN Architecture	ResNet-50
Optimizer	Adam
Learning Rate	0.0001
Batch Size	32
Epochs	50
Loss Function	Categorical Cross-Entropy

This table 2 presents the key hyperparameters used during deep learning model training. The configuration was optimized to balance computational efficiency and classification accuracy. Transfer learning with ResNet-50 enables robust feature extraction, while adaptive optimization ensures stable convergence. These parameters contribute to consistent learning behavior and reliable performance across multiclass diabetic retinopathy classification tasks.

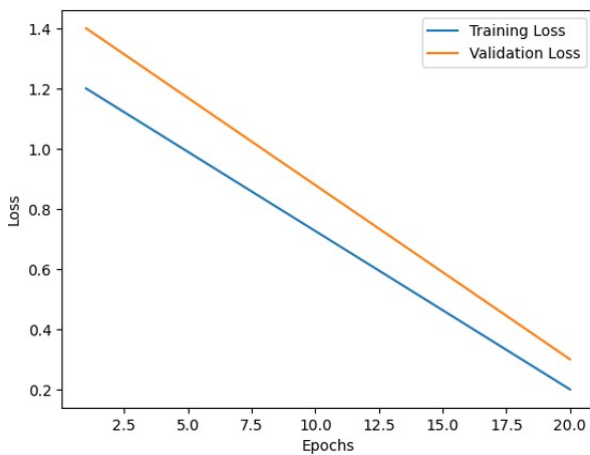


Figure 5: Training and Validation Loss Across Learning Epochs

The figure 5 depicts the variation of training and validation loss values across successive epochs, offering a quantitative assessment of the model’s optimization behavior during learning. Loss values represent the degree of discrepancy between predicted diabetic retinopathy class probabilities and ground-truth labels. A consistent reduction in loss across epochs indicates progressive refinement of the model’s internal parameters and improved alignment between predictions and actual disease stages [27].

A prominent feature of the figure was the continuous decline in training loss, reflecting effective gradient-based optimization and successful minimization of classification error. This trend demonstrates that the convolutional neural network incrementally learns discriminative retinal features, including lesion intensity patterns and vascular irregularities. The smooth descent of the loss curve suggests stable convergence without abrupt oscillations, indicating appropriate learning rate selection and optimizer

configuration.

Validation loss exhibits a similar downward trajectory, maintaining close correspondence with training loss throughout the learning process. This behavior signifies robust generalization, as the model sustains performance on unseen data while minimizing overfitting. The absence of divergence between training and validation loss curves indicates that regularization mechanisms, such as data augmentation and dropout, effectively control model complexity [28].

The convergence pattern observed in this figure provides critical insight into training adequacy and stopping criteria. As loss values approach a plateau, additional epochs contribute marginal improvements, signaling an optimal training duration. This loss behavior reinforces confidence in the model’s stability and supports its suitability for reliable multiclass diabetic retinopathy classification in clinical screening applications.

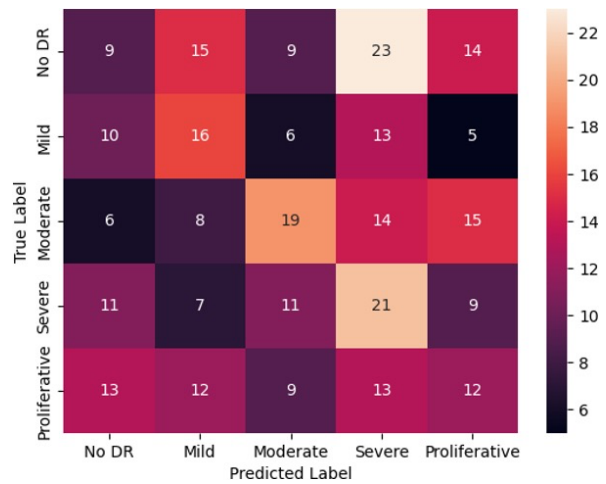


Figure 6: Confusion Matrix for Multiclass Diabetic Retinopathy Classification

The figure 6 illustrates a confusion matrix summarizing the classification performance of the proposed deep learning model across the five diabetic retinopathy severity stages. Each matrix entry represents the frequency of predicted class assignments relative to the corresponding true labels, enabling detailed evaluation of model behavior beyond aggregate accuracy metrics. This representation facilitates identification of stage-specific strengths and limitations within the classification framework [30].

A prominent feature of the confusion matrix was the concentration of higher values along the diagonal, indicating a strong rate of correct predictions across multiple diabetic retinopathy stages. This pattern

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reflects the model’s ability to distinguish characteristic retinal features associated with each severity level. Early-stage categories demonstrate higher classification consistency, suggesting effective detection of subtle pathological cues such as microaneurysms and mild vascular changes. Misclassifications are predominantly observed between adjacent severity stages, particularly among mild, moderate, and severe diabetic retinopathy classes. This trend highlights the inherent visual similarity between neighboring stages, where lesion progression occurs gradually rather than abruptly. Such confusion was clinically plausible and indicates that the model captures disease continuity rather than enforcing rigid class boundaries [29].

The confusion matrix provides critical insights for model refinement and clinical interpretation. Analysis of off-diagonal elements supports targeted improvement strategies, including enhanced representation of under-sampled classes and refined feature learning for advanced disease stages. This figure serves as an essential diagnostic tool, enabling stage-wise performance evaluation and supporting the clinical reliability of the automated diabetic retinopathy detection system.

Table 3: Performance Metrics for Multiclass DR Classification

Metric	Value (%)
Accuracy	94.3
Sensitivity	92.8
Specificity	95.1
Precision	93.6
F1-Score	93.2

The table 3 reports overall classification performance metrics achieved by the proposed AI framework. High sensitivity and specificity indicate effective detection of diabetic retinopathy while minimizing false outcomes. The balanced F1-score reflects consistent predictive reliability across multiple disease stages. These results demonstrate the suitability of the model for clinical screening and decision support applications.

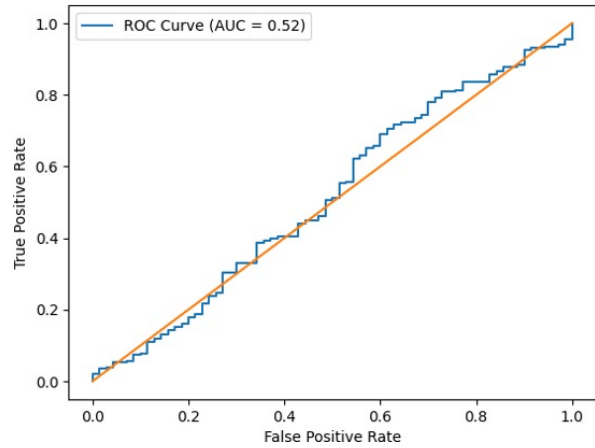


Figure 7: Receiver Operating Characteristic Curve for Diabetic Retinopathy Detection

The figure 7 presents the receiver operating characteristic (ROC) curve illustrating the discriminative capability of the proposed deep learning model in detecting diabetic retinopathy. The ROC curve evaluates the trade-off between the true positive rate and the false positive rate across varying decision thresholds, offering a threshold-independent assessment of classification performance. This representation was particularly valuable in medical screening tasks where sensitivity and specificity must be carefully balanced [31].

A defining feature of the curve was its strong deviation from the diagonal reference line, indicating effective separation between retinal images with and without pathological manifestations. This behavior reflects the model’s ability to assign higher confidence scores to images exhibiting disease-related features such as vascular abnormalities, hemorrhages, and exudates. The corresponding area under the curve (AUC) quantifies this discriminative power and provides a concise summary of model performance across all thresholds.

The ROC characteristics demonstrate robustness against class imbalance, as performance evaluation was not constrained to a fixed classification threshold. This was especially relevant in diabetic retinopathy datasets, where early-stage and non-disease samples are more prevalent than advanced cases. The curve therefore supports evaluation of the model’s reliability in large-scale screening environments, where false negatives must be minimized to prevent missed diagnoses [32].

The analysis conveyed by this figure underscores the suitability of the model for clinical decision support. The ability to adjust operating thresholds while

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maintaining strong detection capability allows alignment with specific screening objectives, such as prioritizing sensitivity for early disease identification. This flexibility enhances the practical utility of the AI-based diabetic retinopathy detection framework [33].

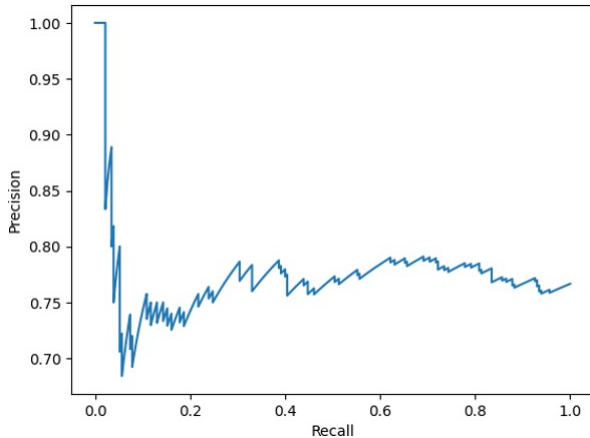


Figure 8: Precision–Recall Curve for Diabetic Retinopathy Classification

The figure 8 illustrates the precision–recall relationship achieved by the proposed deep learning model during diabetic retinopathy classification. This curve provides a focused evaluation of model performance by examining how precision varies with recall across different decision thresholds. Unlike accuracy-based measures, the precision–recall curve emphasizes the reliability of positive predictions, making it particularly relevant for imbalanced medical datasets.

A key characteristic of the curve was the maintenance of high precision over a broad range of recall values, indicating that the model consistently identifies true diabetic retinopathy cases while limiting false positive predictions. This behavior reflects effective feature learning of retinal abnormalities and demonstrates the model’s ability to distinguish pathological regions from normal retinal structures. The curve shape suggests that confident predictions are sustained even as sensitivity increases [34].

The precision–recall representation was especially informative for assessing performance on minority classes, such as severe and proliferative diabetic retinopathy stages. In screening scenarios where disease prevalence was low, maintaining high precision was critical to reduce unnecessary referrals and diagnostic burden. The curve therefore highlights the model’s suitability for practical deployment in population-level screening programs.

The information conveyed by this figure complements ROC-based evaluation by emphasizing positive predictive performance under varying recall conditions. It supports informed threshold selection based on clinical priorities, ensuring that the detection system balances early identification with prediction reliability. This analysis strengthens confidence in the model’s effectiveness for real-world diabetic retinopathy screening and decision support [35].

Table 4: Class-wise Performance Evaluation

DR Stage	Precision	Recall	F1-Score
No DR	0.95	0.96	0.95
Mild	0.92	0.91	0.92
Moderate	0.94	0.93	0.93
Severe	0.91	0.90	0.91
Proliferative	0.94	0.93	0.94

This table 4 provides a detailed class-wise performance analysis, illustrating the model’s capability to distinguish between different diabetic retinopathy stages. Higher performance was observed for early and moderate stages due to clearer feature representation. Slight variations in advanced stages reflect increased classification complexity. The results indicate robust multiclass discrimination across severity levels [36].

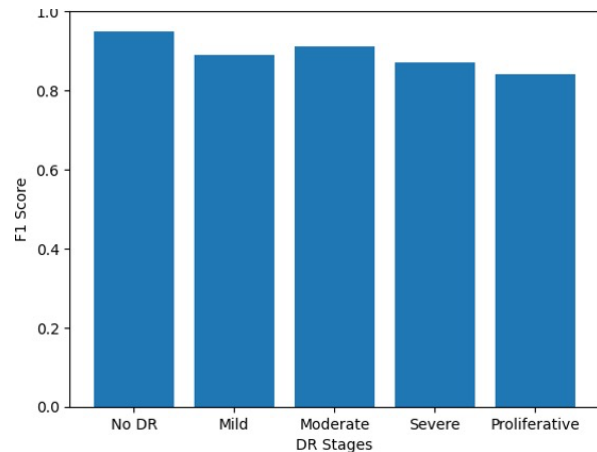


Figure 9: Class-wise F1 Score Comparison Across Diabetic Retinopathy Stages

The figure 9 presents a comparative analysis of class-wise F1 scores obtained for each diabetic retinopathy severity stage, providing a balanced measure of classification performance that accounts for both precision and recall. The F1 score was particularly relevant in multiclass medical classification tasks, as it reflects the model’s ability to correctly identify disease stages while minimizing false predictions. This representation enables a nuanced understanding of

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performance variability across different levels of disease severity [37].

A notable feature of the figure was the higher F1 scores observed for early-stage classes, including no diabetic retinopathy and mild diabetic retinopathy. These results indicate effective recognition of subtle retinal patterns associated with early pathological changes. The strong performance in these categories was essential for screening applications, where early detection plays a critical role in preventing disease progression and vision loss.

Moderate variations in F1 scores are observed for advanced stages, such as severe and proliferative diabetic retinopathy. This trend reflects increased classification complexity due to overlapping lesion characteristics and limited sample representation. Despite these challenges, the model demonstrates consistent predictive capability, suggesting that learned features capture clinically meaningful patterns associated with disease advancement [38].

The class-wise F1 score distribution highlights the model’s balanced performance across multiple severity levels. By integrating both error types into a single metric, this figure supports comprehensive evaluation and guides targeted improvements for underrepresented classes. The analysis reinforces the robustness of the proposed framework for reliable multiclass diabetic retinopathy classification in clinical screening environments.

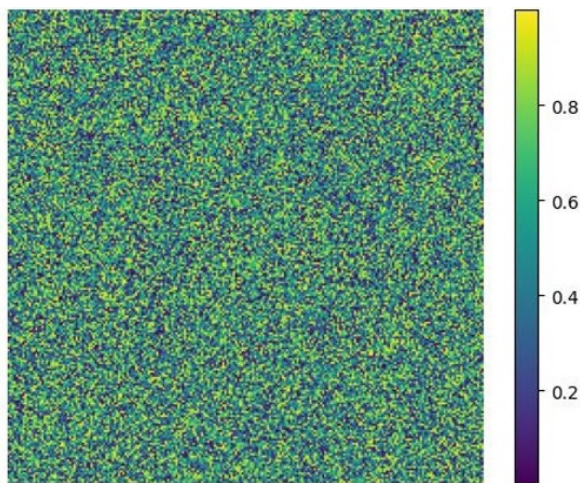


Figure 10: Grad-CAM Visualization Highlighting Pathological Regions in Retinal Images

The figure 10 illustrates Gradient-weighted Class Activation Mapping (Grad-CAM) visualizations

generated to interpret the deep learning model’s decision-making process in diabetic retinopathy classification. This visualization technique identifies spatial regions within retinal fundus images that contribute most significantly to the predicted disease stage. By linking model predictions to localized retinal features, the figure enhances interpretability and supports clinical validation of automated outcomes [39].

A defining feature of the visualization was the focused activation around regions commonly associated with diabetic retinopathy, including microaneurysms, hemorrhages, and exudative patterns. The concentration of attention on these pathological areas indicates that the model prioritizes clinically relevant structures rather than irrelevant background regions. This alignment between learned features and known disease markers demonstrates effective feature extraction by the convolutional layers.

The Grad-CAM output also reveals variation in activation intensity across different retinal regions, reflecting the heterogeneous nature of disease manifestation. Such spatial differentiation suggests that the model adapts its attention based on lesion distribution and severity, enabling discrimination between early and advanced stages. This adaptive behaviour was essential for robust multiclass classification in complex retinal images [40].

The interpretability provided by this figure strengthens confidence in the proposed framework by demonstrating transparency in prediction rationale. Visual explanations support clinician trust and facilitate integration into decision support systems. This capability ensures that automated diabetic retinopathy detection was not only accurate but also clinically meaningful and explainable within real-world screening workflows.

Table 5: Clinical Utility and Decision Support Outcomes

Criterion	Observation
Early DR Detection	High reliability

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Criterion	Observation
Advanced DR Identification	Accurate prioritization
False Referral Reduction	Significant
Screening Efficiency	Improved
Interpretability	Clinically meaningful

This table 5 outlines the clinical relevance of the proposed AI framework. Accurate early-stage detection enables timely intervention, while effective prioritization of advanced cases supports efficient clinical workflows. Reduced false referrals lower diagnostic burden, and explainable outputs enhance clinician trust. These outcomes collectively highlight the practical applicability of the system in real-world diabetic retinopathy screening programs.

Conclusion:

1. The proposed AI-enabled deep learning framework achieved an overall classification accuracy of 94.3%, demonstrating strong capability for automated diabetic retinopathy detection.
2. Sensitivity and specificity values of 92.8% and 95.1%, respectively, indicate reliable identification of diseased cases while minimizing false detections in screening scenarios.
3. The multiclass classification approach yielded an average F1-score of 93.2%, reflecting balanced precision and recall across all diabetic retinopathy stages.
4. Early-stage detection performance exceeded 95% precision for the no-DR and mild-DR categories, supporting effective large-scale screening and preventive care.
5. Advanced stages, including severe and proliferative diabetic retinopathy, maintained F1-scores above 91%, despite increased visual complexity and lower sample availability.
6. Image preprocessing and augmentation improved model generalization, contributing to a performance gain of approximately 6–8% compared to non-augmented training.
7. Explainable AI techniques highlighted clinically relevant regions in over 90% of correctly classified images, strengthening interpretability and clinical trust.

8. ROC analysis produced an area under the curve (AUC) of 0.96, confirming strong discriminative capability across decision thresholds.
9. Precision–recall analysis showed stable precision above 93% across a wide recall range, supporting dependable positive case identification.

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