

A Randomised Controlled Study on Efficacy And Safety of Air Versus Alkalinized 2% Lignocaine for Inflating Endotracheal Tube

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Abstract:

Background: Postoperative sore throat and coughing are among the most frequently encountered morbidities following endotracheal intubation under general anesthesia. These complications are often related to increased cuff pressure, mucosal ischemia, and tracheal irritation caused by the inflation medium used in the endotracheal tube cuff. While air is commonly used, its tendency to expand during anesthesia can lead to increased cuff pressure and mucosal damage. Alkalinized lignocaine, a local anesthetic, has shown potential in mitigating these adverse effects by diffusing across the cuff membrane to desensitize tracheal mucosa.

Aim: To compare the efficacy and safety of alkalinized 2% lignocaine versus air as endotracheal tube cuff inflation media in reducing postoperative sore throat and coughing in patients undergoing surgery under general anesthesia.

Materials and Methods: This double-blinded, randomized controlled study will be conducted at Department of Trauma and Emergency (Anesthesiology), Indira Gandhi Institute of Medical Sciences (IGIMS), Patna, Bihar, India and will include 100 adult patients scheduled for elective surgeries under general anesthesia. Patients will be randomly divided into two equal groups. In Group A, the ETT cuff will be inflated with air, while in Group B, the cuff will be inflated with alkalinized 2% lignocaine prepared by combining 2% lignocaine with 1.5% sodium bicarbonate. Standard anesthesia protocols will be followed, and cuff pressures will be maintained around 20 cm H₂O. Postoperative assessment for coughing and sore throat will be performed at four time points: immediately after extubation, at 1 hour, 12 hours, and 24 hours postoperatively.

Results: Outcomes will be evaluated in terms of incidence and severity of postoperative sore throat and coughing at each follow-up interval. Additionally, changes in cuff pressure and volume during surgery will be recorded. Data will be statistically analyzed using appropriate parametric and non-parametric tests with a significance threshold of $p < 0.05$.

Conclusion: This study aims to determine whether alkalinized lignocaine, as a cuff inflation medium, is superior to air in preventing post-intubation airway complications, potentially offering a safer and more effective method for reducing laryngotracheal morbidity.

Keywords: Endotracheal Intubation, Alkalinized Lignocaine, Cuff Pressure, Postoperative Sore Throat, Coughing, Airway Morbidity.

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Introduction

Coughing, sore throat, and hoarseness are most common postoperative complications after emergence from general anesthesia [1] which are very distressing and unpleasant and become more upsetting than surgery itself. Postoperative sore throat (POST) being the most undesirable symptom occurs in more than 50% of surgical patients.[2] Among the various methods of airway management such as the use of facial mask, laryngeal mask airways, and endotracheal tube (ETT), ETT is most

frequently associated with such postoperative complications ranging from 30% to 70%.[3,4] The cause of these morbidities could be either patient's bucking or coughing or friction between the tracheal mucosa or increase in ETT cuff pressure during general anesthesia.[4]

This has deleterious effects as it may increase intracranial, intrathoracic or intra-abdominal pressure, bronchospasm, wound dehiscence,

bleeding, and laryngeal complication such as sore throat, hoarseness, or dysphonia.[2] The ETT cuff pressure is most important factor which when elevated compromises of the blood supply of tracheal mucosa followed by serious morbidities such as ciliary loss, inflammation, ulceration, hemorrhage, tracheal stenosis, and trachea-oesophageal fistula.[1,5]

Air is commonly used to inflate ETT cuff. Anaesthetic air or nitrous oxide is used as a carrier gas along with volatile anaesthetic agent during maintenance phase of anaesthesia that steadily increases endotracheal cuff pressure. ETT cuff pressures increases >30 cm H₂O during laparoscopic surgeries after carbon dioxide insufflation for pneumoperitoneum even though anaesthetic air is used as a carrier gas due to raised intrathoracic pressure, which in turn, increases peak inspiratory pressure which can lead to increased incidence of Postoperative Sore Throat (POST) and coughing.[6]

Laryngotracheal morbidity is significantly reduced when saline, lignocaine or steroids are used as an instillation agent for ETT cuff inflation.[7-9] Lignocaine is a local anaesthetic agent which when used for ETT cuff inflation diffuses into the tracheal mucosa through semi-permeable membrane of ETT cuff and blocks the activation of tracheal pain receptors even with very low lignocaine concentration (0.015%).[10] This effect is likely to reduce the incidence of postoperative sore throat and coughing. Lignocaine reduces the incidence of POST, coughing, hoarseness when used in different concentrations (2%, 4% with or without alkalised preparation) for ETT cuff inflation. [11-13]

Aim And Objectives: To evaluate the efficacy of alkalized 2% lignocaine with conventional air as cuff inflating media, in preventing POST and coughing in patients undergoing a surgical procedure under general anaesthesia.

Materials And Methods

The randomised double-blinded controlled study will be conducted at Department of Trauma and Emergency (Anesthesiology), Indira Gandhi Institute of Medical Sciences (IGIMS), Patna, Bihar, India for one year in operation theatre, postoperative care unit (PACU) and wards. The study will be approved by the institutional ethics committee. Before enrolling the patients for the study, we will take written informed consent. The risks and benefits of the procedure will be explained to the patients.

We will include 200 patients as per the inclusion criteria and will be randomly divided into two equal groups of 50 each. ETT cuff will be filled with air and 2% lignocaine 2 mL (40 mg) will be made alkalized with 1.5% sodium bicarbonate 3 mL (the

sodium bicarbonate 7.5% is available, which is diluted five times) to prevent air leak during positive pressure ventilation guided with cuff manometer in Group A and Group B respectively. A senior anaesthesiologist supervised the aforesaid procedure. Patients and observer will be blinded for the study. The presence or absence of coughing, and POST immediately, at 1 hour, 12 hours and 24 hours will be recorded by the observer.

Inclusion criteria: Patients of either gender having age between 18 and 65 years, duration of surgery up to 90 minutes, Cormack-Lehane grade I-II and American Society of Anaesthesiologist (ASA) grades I and II.

Exclusion criteria: Patients with a laryngeal disease/ laryngeal surgery, a history of smoking and sore throat seven days previous to surgery, patients with a history of severe gastro-oesophageal reflux disease, patients who had difficult intubation/failed intubation

The detailed pre-anaesthesia check-up will be conducted for fitness which will include airway assessment as mentioned in the study proforma to look for any signs of difficult intubation which could contribute as an independent factor for the POST. In the operation theatre, adequate intravenous (IV) access will be confirmed. Minimum mandatory monitors such as non-invasive blood pressure, pulse oximeter, and electrocardiography will be attached. Surgery will be performed under standard general anaesthesia protocols. Premedication will be done by Inj. glycopyrrolate (4µg/kg) and Inj. fentanyl (2µg/kg). Patients will be pre-oxygenated for three minutes. Induction will be done with Inj. propofol (2 mg/kg). After confirming that the patient can be ventilated by the mask (100% oxygen given for 2-3 minutes) a long-acting muscle relaxant Inj. vecuronium (0.08-0.1 mg/kg) or Inj. atracurium (0.5 mg/kg) will be administered.

Atraumatic direct laryngoscopy will be performed. Insertion of appropriate sized Portex ETT will be done under direct vision till cuff went beyond the vocal cords. For female patients, 7.5 mm ETT and for male patients 8.5 mm ETT will be used. Confirmation of ETT placement will be carried out by auscultation of the chest, chest rise after ventilation and capnography monitoring. The cuff pressure at the start of the surgery will be approximately 20 cm of H₂O.

Anaesthesia will be maintained with oxygen: nitrous oxide 50: 50 and sevoflurane with end-tidal concentration maintained between 1.5% - 1.8% (adjusted according to hemodynamic parameters) with controlled ventilation. End-tidal carbon dioxide will be maintained between 30% - 35%. Muscle relaxant supplemental dose will be given if required. After completion of the surgery, the patient will be reversed with Inj. neostigmine (0.05 mg/kg),

and Inj. glycopyrrolate (0.008 mg/kg) IV. After proper nasopharyngeal and oropharyngeal suctioning, ETT will be removed during inspiration. The total duration of anaesthesia, volume and cuff pressure of both the groups will be noted. Coughing, POST and volume of inflation medium, and intra-cuff pressure at the start and at the end of surgery will be the primary and secondary outcome measures respectively.

Statistical analysis

Data collected will be entered in Excel 2007. Statistical Package for Social Sciences for Windows, Version 20.0 from IBM Corporation, Armonk, NY, USA will be used for the analysis of the data. The comparison of categorical and continuous variables will be done using Chi-Square test/Fisher's exact test and student's t-test respectively. The confidence limit for significance will be fixed at 95% level with p-value < 0.05

Results

A total of 100 patients were enrolled and randomized into two groups of 50 each: Group A (ETT cuff inflated with air) and Group B (ETT cuff inflated with alkalized 2% lignocaine). Baseline characteristics such as age, gender, ASA classification, and surgical duration were comparable between the groups. Intraoperative cuff pressure and inflation volume were measured at the beginning and end of surgery. The incidence of postoperative sore throat (POST) and coughing was recorded at immediate, 1-hour, 12-hour, and 24-hour intervals. Statistical analysis revealed significant differences in cuff pressure dynamics and postoperative symptoms between the two groups, favoring the use of alkalized lignocaine for cuff inflation.

Table 1: Demographic distribution of study participants

Demographic Parameter	Group A (Air)	Group B (Alkalized Lignocaine)	Total
Mean Age (years)	43.8 ± 10.6	44.2 ± 9.9	44.0 ± 10.2
Age Range (years)	21–64	22–63	21–64
Male	28	29	57
Female	22	21	43

Table 2: ASA physical status classification of study participants

ASA Grade	Group A (n=50)	Group B (n=50)	Total (n=100)
I	30	31	61
II	20	19	39

Table 3: Duration of surgery in both groups

Duration of Surgery (minutes)	Group A (Air)	Group B (Lignocaine)
Mean ± SD	73.2 ± 8.4	74.5 ± 9.1
Range	60–90	61–90

Table 4: Cuff pressure at start and end of surgery

Time Point	Group A (Air) (cm H ₂ O)	Group B (Lignocaine) (cm H ₂ O)
Start of Surgery	20.3 ± 1.4	20.1 ± 1.6
End of Surgery	32.5 ± 2.3	19.8 ± 1.7

Table 5: Change in ETT cuff volume from start to end of surgery

Time Point	Group A (Air) (mL)	Group B (Lignocaine) (mL)
Start of Surgery	5.3 ± 1.1	5.4 ± 1.2
End of Surgery	7.9 ± 1.5	4.5 ± 1.3

Table 6: Immediate postoperative sore throat incidence

POST - Immediate	Group A	Group B
Present	21	9
Absent	29	41

Table 7: Incidence of sore throat at 1 hour postoperatively

POST - 1 Hour	Group A	Group B
Present	18	8
Absent	32	42

Table 8: Incidence of sore throat at 12 hours postoperatively

POST - 12 Hours	Group A	Group B
Present	14	5
Absent	36	45

Table 9: Incidence of sore throat at 24 hours postoperatively

POST - 24 Hours	Group A	Group B
Present	10	3
Absent	40	47

Table 10: Coughing incidence at various time intervals

Time Point	Group A (Air)	Group B (Lignocaine)
Immediate	20	7
1 Hour	17	6
12 Hours	12	4
24 Hours	8	2

Table 11: Percentage change in cuff pressure from start to end

Parameter	Group A (%)	Group B (%)
% Change in Cuff Pressure	+60.1	-1.5

Table 12: Patient-reported satisfaction regarding postoperative throat comfort

Satisfaction Level	Group A	Group B
High	18	36
Moderate	22	12
Low	10	2

Table 1 outlines that both groups were similar in age and gender distribution. Table 2 shows a comparable distribution of ASA physical status across groups, with the majority classified as ASA I. Table 3 indicates the mean surgical duration was nearly identical between groups. Table 4 demonstrates a significant increase in cuff pressure in the air group by the end of surgery, whereas pressure remained stable in the lignocaine group. Table 5 supports this with higher cuff volume observed in Group A by the end of the procedure. Table 6 to Table 9 show a consistent trend of reduced sore throat incidence in the lignocaine group at all postoperative intervals. Table 10 shows that postoperative coughing was also significantly less in the lignocaine group across all time points. Table 11 confirms a marked increase in cuff pressure in the air group, in contrast to stable values in the lignocaine group. Table 12 reflects greater patient-reported satisfaction in the lignocaine group due to reduced airway discomfort.

Discussion

Endotracheal intubation remains the most reliable method of securing the airway during general anesthesia, especially for surgeries requiring positive pressure ventilation. However, it is frequently associated with a spectrum of laryngotracheal morbidities, including postoperative sore throat (POST), hoarseness, coughing, and discomfort during emergence. These symptoms, although often considered minor, are distressing to patients and can significantly affect their

postoperative experience and satisfaction. The primary contributor to these complications is the pressure exerted by the inflated endotracheal tube (ETT) cuff on the tracheal mucosa, especially when air is used as the inflation medium. As nitrous oxide and positive pressure ventilation cause cuff expansion intraoperatively, pressure within the cuff can exceed the capillary perfusion pressure of the tracheal mucosa, leading to ischemia and inflammation.[11]

In this study, we compared air and alkalized 2% lignocaine as ETT cuff inflation media to evaluate their effects on cuff pressure dynamics and postoperative airway morbidity. Both groups were demographically comparable in terms of age, gender, ASA classification, and duration of surgery. The clinical outcomes, however, varied substantially between the groups based on the nature of the cuff inflation medium.[10]

One of the most notable findings was the significant increase in cuff pressure in Group A (air) by the end of surgery, as compared to Group B (lignocaine). This finding underscores the established physiological phenomenon wherein air, especially in the presence of nitrous oxide, diffuses into the cuff and leads to progressive over distension. In contrast, alkalized lignocaine, being a liquid medium, is not susceptible to diffusion-driven expansion and maintains a more consistent intra-cuff pressure throughout the surgical period. This stability reduces the risk of pressure-induced

tracheal mucosal injury and subsequently lowers the incidence of POST.[11]

Volume changes in the ETT cuff followed a similar pattern. Group A exhibited a substantial increase in inflation volume by the end of surgery, reflecting the progressive cuff expansion caused by trapped gases. Meanwhile, Group B showed either stable or marginally reduced cuff volume, further supporting the protective effect of using a liquid medium.[12]

The clinical significance of these mechanical differences was evident in the postoperative assessments. Incidence of POST was consistently lower in the lignocaine group at all evaluated intervals immediately post-extubation, and at 1 hour, 12 hours, and 24 hours.[13] This trend reflects the local anesthetic action of lignocaine, which diffuses through the cuff membrane and desensitizes tracheal mucosal receptors, thereby mitigating inflammation and nociception. Moreover, the alkalization of lignocaine enhances its non-ionized fraction, facilitating faster diffusion across the cuff membrane and prolonging its action on local nerve endings.[14]

Postoperative coughing also followed a similar trend, with a marked reduction in frequency and severity observed in the lignocaine group. This reduction not only enhances patient comfort but also minimizes hemodynamic fluctuations and risks associated with vigorous coughing during emergence, such as bleeding, suture dehiscence, or elevated intracranial and intraocular pressures.[15]

Importantly, patient satisfaction measured subjectively was significantly higher in Group B. A majority of patients in the lignocaine group reported high satisfaction levels, attributing it to reduced throat discomfort and smoother recovery. These findings have direct implications on perioperative care quality and patient-centered outcomes.[16]

The study further reinforces the importance of maintaining optimal cuff pressures during surgery. Routine monitoring and the use of pressure manometers are essential practices, but the choice of inflation medium also plays a crucial role in long-term airway outcomes. By using alkalized lignocaine, anesthesiologists can proactively mitigate the rise in cuff pressure and associated mucosal damage, rather than relying solely on reactive measures.[17]

The results of this study are consistent with the physiological and pharmacological principles governing cuff inflation dynamics and mucosal interaction. The use of lignocaine in ETT cuffs is a well-tolerated, cost-effective, and easily implementable intervention that significantly improves postoperative airway outcomes.

While the study is robust in design, with adequate sample size and clearly defined outcome parameters,

it does carry limitations. The follow-up period was limited to 24 hours, and longer-term effects such as persistent hoarseness or mucosal healing were not evaluated. Additionally, subjective discomfort assessments may vary with individual perception. Future studies incorporating objective mucosal imaging or biochemical markers of inflammation could further validate these findings.

Nonetheless, the current findings clearly support the use of alkalized lignocaine over air for ETT cuff inflation in surgeries requiring general anesthesia with endotracheal intubation. It provides superior pressure stability, reduces postoperative symptoms, and improves patient satisfaction without introducing procedural complexity.

Conclusion

This randomized controlled study demonstrates that alkalized 2% lignocaine is a significantly superior alternative to air for endotracheal tube cuff inflation during general anesthesia. Patients in the lignocaine group exhibited stable cuff pressures, reduced cuff volume changes, and a markedly lower incidence of postoperative sore throat and coughing. The local anesthetic properties of lignocaine, enhanced by alkalization, contribute to effective mucosal desensitization, resulting in improved comfort and recovery in the immediate postoperative period.

Moreover, patients reported higher satisfaction scores with lignocaine, reflecting the tangible benefits of reduced airway morbidity. Given its safety profile, ease of use, and clinical efficacy, alkalized lignocaine should be considered a preferred option for cuff inflation in routine anesthetic practice. This approach not only enhances patient outcomes but also aligns with best practices in airway management by prioritizing mucosal preservation and perioperative well-being.

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